Terms and conditions – Consultants (England) 2003

Record of amendments – Volume One

Amendments to the terms and conditions will normally be notified to employers via a message in the NHS Workforce Bulletin.

A revised version of the terms and conditions will be posted on the NHS Employers website at www.nhsemployers.org/PayAndConditions/consultants_and_dental_consultants.asp

	Schedule no.	Amendment	Date amended	Circular number
1	Schedule 23	Entire Schedule added to TCS.	19 January 2004	number
2	Schedule 1	 Replacement of paragraph 1 1. The date from which continuous employment as a consultant begins must be clearly stated in the consultant's contract of employment. In setting this date at paragraph 2 of the contract, NHS organisations should take into account all previous service as a consultant with other NHS employing organisations and any equivalent experience in another EEA Member State. The employing organisation may, at its discretion, take into account service outside the NHS, for example including: service outside the EEA; voluntary service; service in the independent sector; 	1 June 2005	PC(M&D) 4/2005
		service in HM armed forces. with		

	Schedule no.	Amendment	Date amended	Circular number
		1. The date from which employment under this contract began must be stated in clause 2.1 of the consultant's contract of employment.		
3	Schedule 1	 Replacement of Paragraph 2 2. The date from which continuous employment applies for the purposes of the Employment Rights Act 1996 must also be set out in paragraph 2 of the contract of employment. Previous employment with other NHS employing organisations does not count as continuous service for the purposes of the Employment Rights Act 1996 except as provided for under the National Health Service and Community Care Act 1990 or any other statute. With 2. The date from which continuous employment began for the purposes of the Employment Rights Act 1996 must be set out in clause 2.2 of the contract of employment and should include, if applicable, employment with predecessor organisations that had previously held the contract, e.g. former Regional Health Authorities from whom the current contract was transferred under TUPE or equivalent arrangements. Previous employment with other NHS employing organisations does not count as continuous service for the purposes of the Employment X as continuous service for the purposes of the Employment with other NHS employing organisations does not count as continuous service for the purposes of the Contract was transferred under TUPE or equivalent arrangements. Previous employment with other NHS employing organisations does not count as continuous service for the purposes of the Employment Rights Act 1996 except as provided for under the National Health Service and Community Care Act 1990 or any other statute. 	1 June 2005	PC(M&D) 4/2005
4	Schedule 1	Insert Paragraph 3 Calculation of seniority 3. NHS organisations should take into account all previous service as a consultant with other	1 June 2005	PC(M&D) 4/2005

	Schedule no.	Amendment	Date amended	Circular number
		NHS employing organisations and any equivalent experience in another EEA Member State. The employing organisation may, at its discretion, take into account service outside the NHS, for example including:		
		employment outside the EEA;		
		voluntary service;		
		employment in the independent sector;		
		service in HM armed forces.		
5	Schedule 4	Replacement of Paragraph 5	1 June 2005	PC(M&D) 4/2005
		5. The membership of the panel will be:		
		 a chairman nominated by the employing organisation; 		
		a representative nominated by the consultant;		
		• a third member chosen from a list of individuals approved by the Strategic Health Authority and the BMA and BDA. The Strategic Health Authority will monitor the way in which individuals are allocated to appeal panels to avoid particular individuals being routinely called upon. If there is an objection raised by either the consultant or the employing organisation to the first representative from the list, one alternative representative will be allocated. The list of individuals will be regularly reviewed.		
		No member of the panel should have previously been involved in the dispute.		
		With		
		5. The membership of the panel will be:		

	Schedule no.	Amendment	Date amended	Circular number
		• a chair nominated by the appellants employing organisation;		
		• a second panel member nominated by the appellant consultant;		
		• a third member chosen from a list of individuals approved by the Strategic Health Authority and the BMA and BDA. The Strategic Health Authority will monitor the way in which individuals are allocated to appeal panels to avoid particular individuals being routinely called upon. If there is an objection raised by either the consultant or the employing organisation to the first representative from the list, one alternative representative will be allocated. The list of individuals will be regularly reviewed.		
		No member of the panel should have previously been involved in the dispute.		
6	Schedule 13	Replacement of paragraph 5 Definition of Seniority 5. Both salary on commencement and eligibility for subsequent pay thresholds will depend on a consultant's seniority (see Tables 1 and 2 below). For these purposes, seniority is to be measured as the sum of the number of whole years completed as an NHS consultant, plus the point on the salary scale when appointed (on a scale of 1 to 5), plus any additional credited seniority (in whole years) to reflect non-NHS consultant level experience or flexible training (see below). With	1 June 2005	PC(M&D) 4/2005
		Definition of Seniority 5. Both salary on commencement and eligibility for subsequent pay thresholds will depend on a consultant's seniority (see Annex A). For these purposes, seniority is to be measured as the sum of the number of whole years completed as an NHS consultant, plus the point on the		

	Schedule no.	Amendmen	t			Date amended	Circular number
		salary scale whole years For the avoid employment experience.					
7	Schedule 13	process. W	cum in post for a period c hen a locum consultant h tled to pay progression (s ppointment.	as entered into the Job Pla	subject to the Job Planning anning process, he or she w hedule 15 being met) from t		PC(M&D) 4/2005
8	Schedule 13	Deletion of T	able 1 alary on Commenceme	nt		1 June 2005	PC(M&D) 4/2005
		Level of seniority (years)	Salary on commencement (full-time) (2003/04)	Payment for one additional Programmed Activity	Annual Payment for one additional Programmed Activity per week		
		1	£65,035	£125	£6,503		
		2	£65,550	£126	£6,555		
		3	£66,065	£127	£6,606		
		4	£66,585	£128	£6,658		
		5	£71,230	£137	£7,123		
		6	£72,260	£139	£7,226		
		7-29	£73,290	£141	£7,329		
		30+	£78,195	£150	£7,820		

	Schedule no.	Amendment	Date amended	Circular number
9	Schedule 13	Insertion of paragraph 10 10. The annual rate for an additional Programmed Activity will be 10% of basic salary, where basic salary includes the pay thresholds and any discretionary points or local clinical excellence awards.		
10	Schedule 13	Replacement of 11. There will be no financial detriment to any consultants for whom the combined total of their basic pay (as calculated under Table 1) and any on-call availability supplement (as assessed under the provisions in Schedule 16) would otherwise be less than the combined total of their basic pay and any intensity supplement under their previous NHS contract and terms and conditions. For consultants transferring to these Terms and Conditions in 2003/04, there will be full protection for one year, i.e. taking account of annual pay uplift for 2004/05 for consultants on the previous national terms and conditions. After this date, protection will be on a mark-time basis (i.e. until the new salary exceeds the salary at the point of transfer). With 11. There will be no financial detriment to any consultants for whom the combined total of their basic pay and any on-call availability supplement (as assessed under the provisions in Schedule 16) would otherwise be less than the combined total of their basic pay and any intensity supplement under their previous NHS contract and terms and conditions. For consultants transferring to these Terms and Conditions in 2003/04, there will be full protection for one year, i.e. taking account of annual pay uplift for 2004/05 for consultants on the previous national terms and conditions. After this date, protection will be on a mark-time basis (i.e. until the new salary exceeds the salary at the point of transfer).	1 June 2005	PC(M&D) 4/2005
11	Schedule 13	Deletion of Table 2	1 June 2005	PC(M&D) 4/2005

Schedule no.	Amendmen	t			Date amended	Circular number
	Table 2:	Seniority a	Seniority and Thresholds			
	Seniority	Pay Threshold	Salary for consultants who receive pay threshold (full-time) (2003/04)	Number of years' service before eligibility for threshold (since previous threshold)		
	30+	7 8	£83,100 £88,000	One year One year		
	21-29	6 7 8	£78,195 £83,100 £88,000	One year One year One year		
	20	6 7 8	£78,195 £83,100 £88,000	One year Two years One year		
	19	6 7 8	£78,195 £83,100 £88,000	One year Two years Two years		
	18	6 7 8	£78,195 £83,100 £88,000	Two years One year Two years		
	17	6 7 8	£78,195 £83,100 £88,000	Two years Two years Two years		
	16	6 7 8	£78,195 £83,100 £88,000	Three years One year Three years		
	15	6 7 8	£78,195 £83,100 £88,000	Three years One year Four years		
	14	6	£78,195	Three years		

	Schedule no.	Amendm	ent			Date amended	Circular number
-	110.		7	£83,100	Two years	amenueu	number
			8	£88,000	Four years		
		13	6	£78,195	Three years		
			7	£83,100	Two years		
			8	£88,000	Five years		
		12	6	£78,195	Three years		
			7	£83,100	Three years		
			8	£88,000	Five years		
		11	6	£78,195	Four years		
			7	£83,100	Three years		
			8	£88,000	Five years		
		10	6	£78,195	Four years		
			7	£83,100	Four years		
			8	£88,000	Five years		
		9	6	£78,195	Four years		
			7	£83,100	Five years		
			8	£88,000	Five years		
		8	6	£78,195	Five years		
			7	£83,100	Five years		
			8	£88,000	Five years		
		7	6	£78,195	Five years		
			7	£83,100	Five years		
			8	£88,000	Five years		
		6	5	£73,290	One year		
			6	£78,195	Four years		
			7	£83,100	Five years		
			8	£88,000	Five years		
		5	4	£72,260	One year		
			5	£73,290	One year		
			6	£78,195	Four years		

Schedule no.	Amendn	nent				Date amended	Circula number
		7	£83,100	Five years			
		8	£88,000	Five years			
	4	3	£69,165	One year			
		4	£71,230	One year			
		5	£73,290	One year			
		6	£78,195	Three years			
		7	£83,100	Five years			
		8	£88,000	Five years			
	3	*	£68,130	One year			
		4	£71,230	One year			
		5	£73,290	One year			
		6	£78,195	Four years			
		7	£83,100	Five years			
		8	£88,000	Five years			
	2	2	£67,100	One year			
		4	£71,230	One year			
		5	£73,290	One year			
		6	£78,195	Five years			
		7	£83,100	Five years			
		8	£88,000	Five years			
	1	*	£66,065	One year			
		3	£69,165	One year			
		4	£71,230	One year			
		5	£73,290	One year			
		6	£78,195	Five years			
		7	£83,100	Five years			
		8	£88,000	Five years			
	* For cor	sultants with	n seniority of 1 or 3 years o	on transition, the first pay threshold is	s for		
		al purposes.					

	Schedule no.	Amendment					Date amended	Circular number
			of references to 'Table 1' and/o e to 'Annex A' throughout Scheo		paragraphs 5, 8,	11, 13, 14, 15, 17		
12	Schedule 13	Insertion of Annex A Pay progress	sion for consultants appointed	before 31 Oc	tober 2003		1 June 2005	PC(M&D) 4/2005
		Seniority at transfer	Years after transfer before threshold level changes	Pay threshold	Basic salary (2005/06 rates)^	Pay scale		
		30+	On transfer to new contract 1 year after transfer 2 years after transfer	7 8	£83,320 £88,547 £93,768	MC71		
		21-29	On transfer to new contract 1 year after transfer 2 years after transfer 3 years after transfer	6 7 8	£78,094 £83,320 £88,547 £93,768	MC70		
		20	On transfer to new contract 1 year after transfer 3 years after transfer 4 years after transfer	6 7 8	£78,094 £83,320 £88,547 £93,768	MC69		
		19	On transfer to new contract 1 year after transfer 3 years after transfer 5 years after transfer	6 7 8	£78,094 £83,320 £88,547 £93,768	MC68		
		18	On transfer to new contract 2 years after transfer	6	£78,094 £83,320	MC67		

Record of amendments log – Volume One – July 2007 10 -

Schedule no.	Amendment					Date amended	Circular number
		3 years after transfer	7	£88,547			
		5 years after transfer	8	£93,768			
	17	On transfer to new contract		£78,094			
		2 years after transfer	6	£83,320	MC66		
		4 years after transfer	7	£88,547			
		6 years after transfer	8	£93,768			
	16	On transfer to new contract		£78,094			
		3 years after transfer	6	£83,320	MC65		
		4 years after transfer	7	£88,547			
		7 years after transfer	8	£93,768			
	15	On transfer to new contract		£78,094			
		3 years on transfer	6	£83,320	MC64		
		4 years on transfer	7	£88,547			
		8 years on transfer	8	£93,768			
	14	On transfer to new contract		£78,094			
		3 years after transfer	6	£83,320	MC63		
		5 years after transfer	7	£88,547			
		9 years after transfer	8	£93,768			
	13	On transfer to new contract		£78,094			
		3 years after transfer	6	£83,320	MC62		
		5 years after transfer	7	£88,547			
		10 years after transfer	8	£93,768			
	12	On transfer to new contract		£78,094			
		3 years after transfer	6	£83,320	MC61		
		6 years after transfer	7	£88,547			
		11 years after transfer	8	£93,768			
	11	On transfer to new contract		£78,094			
		4 years after transfer	6	£83,320	MC60		
		7 years after transfer	7	£88,547			
		12 years after transfer	8	£93,768			

Record of amendments log – Volume One – July 2007 11 -

Schedule	Amendment					Date	Circular
no.	10	On transfer to new contract		£78,094		amended	number
	10		C		MC50		
		4 years after transfer	6	£83,320	MC59		
		8 years after transfer	/	£88,547			
		13 years after transfer	8	£93,768			
	9	On transfer to new contract		£78,094			
		4 years after transfer	6	£83,320	MC58		
		9 years after transfer	7	£88,547			
		14 years after transfer	8	£93,768			
	8	On transfer to new contract		£78,094			
		5 years after transfer	6	£83,320	MC57		
		10 years after transfer	7	£88,547			
		15 years after transfer	8	£93,768			
	7	On transfer to new contract		£78,094			
		5 years after transfer	6	£83,320	MC57		
		10 years after transfer	7	£88,547			
		15 years after transfer	8	£93,768			
	6	On transfer to new contract		£76,996			
		1 year after transfer	5	£78,094	MC56		
		5 years after transfer	6	£83,320			
		10 years after transfer	7	£88,547			
		15 years after transfer	8	£93,768			
	5	On transfer to new contract	-	£75,899			
		1 year after transfer	*	£76,996	MC55		
		2 years after transfer	5	£78,094			
		6 years after transfer	6	£83,320			
		11 years after transfer	7	£88,547			
		16 years after transfer	8	£93,768			

Schedule no.	Amendment					Date amended	Circular number
	4	On transfer to new contract		£70,949			
		1 year after transfer	3	£73,699	MC54		
		2 years after transfer	4	£75,899			
		3 years after transfer	5	£78,094			
		6 years after transfer	6	£83,320			
		11 years after transfer	7	£88,547			
		16 years after transfer	8	£93,768			
	3	On transfer to new contract		£70,395			
		1 year after transfer	*	£72,596	MC53		
		2 years after transfer	4	£75,899			
		3 years after transfer	5	£78,094			
		7 years after transfer	6	£83,320			
		12 years after transfer	7	£88,547			
		17 years after transfer	8	£93,768			
	2	On transfer to new contract		£69,847			
		1 year after transfer	2	£71,498	MC52		
		2 years after transfer	4	£75,899			
		3 years after transfer	5	£78,094			
		8 years after transfer	6	£83,320			
		13 years after transfer	7	£88,547			
		18 years after transfer	8	£93,768			
	1	On transfer to new contract		£69,298			
		1 year after transfer	*	£70,395	MC51		
		2 years after transfer	3	£73,699			
		3 years after transfer	4	£75,899			
		4 years after transfer	5	£78,094			
		9 years after transfer	6	£83,320			
		14 years after transfer	7	£88,547			
		19 years after transfer	8	£93,768			

	Schedule no.	Amendment					Date amended	Circular number
		transitional pu	Irposes lates on salary	-		rst pay threshold is for NHS Employers websit	e	
13	Schedule 14	Paragraph 5 c 5. Basic sala	changed from ry on commencer			old to reflect any consultan first appointment as a NH		PC(M&D) 4/2005
		consultant-lev seniority may	el experience that	t a consultant ha g an absence or	as gained. For the an employment bi	old to reflect any approved avoidance of doubt, reak scheme to reflect the		
14		Deletion of Ta	able 1 I y Thresholds				1 June 2005	PC(M&D) 4/2005
		Threshold	Period before eligibility for threshold	Basic salary (full-time) (2003/04)	Payment for one additional Programmed Activity	Annual payment for one additional Programmed Activity per week		
	1	1	NI/A (maximum al	665 025	£125	CC 502		1
		1	N/A (normal starting salary)	£65,035	£125	£6,503 £6,710		

	Schedule no.	Amendment					Date amended	Circular number
		3	One year	£69,165	£133	£6,916		
		4	One year	£71,230	£137	£7,123		
		5	One year	£73,290	£141	£7,329		
		6	Five years	£78,195	£150	£7,820		
		7	Five years	£83,100	£160	£8,310		
		8	Five years	£88,000	£169	£8,800		
15	Schedule	reference to 'A Insertion of pa	Annex B' througho Iragraph 7	ut Schedule 14.			1 June	PC(M&D)
	14			Ŷ	d Activity will be 10% y local clinical excellen		2005 re	4/2005
16	Schedule 14	Annex B Pay progression	on for consultants	appointed on or	after 31 October 2003	3	1 June 2005	PC(M&D) 4/2005
		Threshold	Years completed as a consultant	Basic salary 2005/6^	Period before eligibility for next threshold	Payroll point		
		1	0	£69,298	1 year	MC72 Point 00		
		2	1	£71,498	1 year	MC72 Point 01		
		3	2	£73,699	1 year	MC72 Point 02		
		4	3	£75,899	1 year	MC72 Point 03		

Schedule no.	Amendment					Date amended	Circular number
	5	4	£78,094	5 years	MC72 Point 04		
		5	£78,094	4 years	MC72 Point 05		
		6	£78,094	3 years	MC72 Point 06		
		7	£78,094	2 years	MC72 Point 07		
		8	£78,094	1 year	MC72 Point 08		
	6	9	£83,320	5 years	MC72 Point 09		
		10	£83,320	4 years	MC72 Point 10		
		11	£83,320	3 years	MC72 Point 11		
		12	£83,320	2 years	MC72 Point 12		
		13	£83,320	1 year	MC72 Point 13		
	7	14	£88,547	5 years	MC72 Point 14		
		15	£88,547	4 years	MC72 Point 15		
		16	£88,547	3 years	MC72 Point 16		
		17	£88,547	2 years	MC72 Point 17		
		18	£88,547	1 year	MC72 Point 18		

Record of amendments log – Volume One – July 2007 16 -

	Schedule no.	Amendment					Date amended	Circular number
		8	19	£93,768	-	MC72 Point 19		
		^annual upda www.nhsemp		es are published	on the NHS Employe	rs website		
17	Schedule 18	Public Holid 4. The consu	Itant is entitled to			ne two statutory days) ons of Service or days		PC(M&D) 4/2005
		With Public Holidays 4. The leave entitlements of consultants in regular appointments are additional to eight publi holidays and two statutory holidays or days in lieu thereof. The two statutory holidays may by local agreement, be converted to a period of annual leave.						
18	Schedule 18	Replacement <i>E. Specia</i> 33. The	t of paragraph 33, 3 I Leave With or W	34 and 35. ithout Pay on 3 of the Gen		as of Service shall app	1 June 2005 <i>ly,</i>	PC(M&D) 4/2005
		subje Wher cours	ct to the provisions e a consultant is re	of Section 16 equired to attend or her NHS of	of the General Counc d court as a witness, a duties, such attendar	ness, such attendance cil Conditions of Servio as a result of the norn ice will be classified	ce. nal	

	Schedule no.	Amendment	Date amended	Circular number
		F. Maternity Leave and Domestic Personal and Care Relief		
		35. The consultant's right in respect of other special leave, domestic personal and care relief and maternity leave are set out in sections 3 and 6 of the General Council Conditions of Service.		
		With		
		E. Special Leave With or Without Pay		
		33. Special leave for any circumstances may be granted (with or without pay) at the discretion of the employer. Where a consultant is required to attend court as a witness, as a result of the normal course of delivering his or her NHS duties, such attendance will be classified as Contractual and Consequential Services.		
		F. Maternity Leave and Pay		
		34. The provisions of Schedule 24 shall apply.		
		35. Not allocated.		
19	Schedule 20	Deletion of references to:	1 June 2005	PC(M&D) 4/2005
	20	'S3. Special Leave'	2005	and
		and		Interchang e alert 264
		'S6. Maternity Leave'		- <u>http://ww</u> <u>w.dh.gov.</u>

Record of amendments log – Volume One – July 2007 18 -

	Schedule	Amendment	Date	Circular
	no.		amended	number
		Insertion of wording: 'NB – Please note that Maternity Leave and Pay arrangements are now covered in temporary Schedule 24.' Deletion of reference: S42. Disciplinary and Disputes Procedures (subject to the adoption of the proposed new framework for disciplinary procedures) Insertion of wording: NB – Information on the new doctor's and dentist's disciplinary framework can be found at: http://www.dh.gov.uk/PublicationsAndStatistics/Publications/PublicationsPolicyAndGuidance/ PublicationsPAmpGBrowsableDocument/fs/en?CONTENT_ID=4103418&chk=UVbdwG		uk/Publica tionsAndS tatistics/P ublication s/Publicati onsPolicy AndGuida nce/Public ationsPA mpGBrow sableDocu ment/fs/en ?CONTE NT_ID=4 103418&c hk=UVbd
20	Schedule 22	Replacement of paragraph 4 Basic Salary 4. Locum consultants who have not at any time held a substantive consultant post shall be remunerated at the equivalent rate to the first point on the salary scale, subject to the provisions for pay progression set out below and subject to recognising any consultant level experience outwith the NHS in line with paragraph 8 of Schedule 13 or paragraphs 4-6 of Schedule 14 of these Terms and Conditions. with Basic Salary	1 June 2005	<u>wG</u> PC(M&D) 4/2005

Record of amendments log – Volume One – July 2007 19 -

	Schedule no.	Amendment	Date amended	Circular number
		4. Locum consultants who have not at any time held a substantive consultant post shall be remunerated at the equivalent rate to the first point on the salary scale, subject to the provisions for pay progression set out below and subject to recognising any approved non-NHS consultant level experience. Where a locum consultant's training has been lengthened by virtue of being in a flexible training scheme or because of undergoing dual qualification (required for the locum post concerned), the employing organisation will, where necessary, credit appropriate additional seniority to ensure that the locum consultant is not prevented from reaching the pay threshold they would have attained had they trained on a full time or single qualification basis (e.g. training extended by two years counts as the equivalent of two years' consultant level experience where a locum consultants would not otherwise be able to reach the same pay threshold).		
21	Schedule 22	Replacement of paragraph 5 5. Locum consultants who hold a substantive consultant post (either within the employing organisation, or with another NHS employer) and will continue to hold such a post beyond the tenure of the locum post shall be remunerated at a rate consistent with their current pay threshold including any Distinction Award, Discretionary Points or Clinical Excellence Awards. with	1 June 2005	PC(M&D) 4/2005
		5. Locum consultants who hold a substantive consultant post (either within the employing organisation, or with another NHS employer) and will continue to hold such a post beyond the tenure of the locum post shall be remunerated at a rate consistent with their current pay threshold, or rate of pay, including any Distinction Award, Discretionary Points or Clinical Excellence Awards.		
22	Schedule 22	Replacement of paragraph 6	1 June 2005	PC(M&D) 4/2005
		6. Locum consultants who hold a substantive consultant post (either within the employing organisation, or with another NHS employer) and will continue to hold such a post beyond the		

Record of amendments log – Volume One – July 2007 20 -

	Schedule no.	Amendment	Date amended	Circular number
		tenure of the locum post shall be remunerated at a rate consistent with their current pay threshold including any Distinction Award, Discretionary Points or Clinical Excellence Awards.		
		With		
		6. Locum consultants who do not currently hold a substantive consultant post with the relevant employer (e.g. retired consultants or consultants taking up a locum post with an employer other than their substantive employer) shall be remunerated at a rate consistent with their most recent pay threshold as a substantive consultant or, for those who have not previously held employment under these Terms and Conditions, their calculated seniority, subject to the provisions for pay progression set out below.		
23	Schedule 22	Replacement of paragraph 7 Pay Progression	1 June 2005	PC(M&D) 4/2005
		7. Where a doctor has completed twelve months' locum service, whether continuous or cumulative, there shall be an assessment of whether he or she has met the criteria for pay progression in respect of that year's service. Subject to meeting the criteria in respect of the relevant year(s), locums shall receive pay progression in the same way as substantive consultants.		
		With		
		Pay Progression		
		7 A locum in post for a period of six months will become subject to the Job Planning process. Where a locum doctor is covered by the Job Planning process and has completed twelve months' locum service, whether continuous or cumulative, there shall be an assessment of whether he or she has met the criteria set out in Schedule 15 for pay progression in respect of that year's service. Subject to meeting the criteria in respect of the relevant year(s), locums shall receive pay progression in the same way as substantive consultants.		

	Schedule no.	Amendment	Date amended	Circular number
24	Schedule 23	End of Paragraph 1 Insert	1 June 2005	PC(M&D) 4/2005
24	Schedule 23	(amended [month] 2005) Paragraph 2 Replace <i>Capital 'E' for employer</i> With <i>Small 'e' for employer</i>	1 June 2005	PC(M&D) 4/2005
24	Schedule 23	Table 1 – Application of Schedules to Honorary Consultant Posts, Schedule 1 Replace 22 With 28		
24	Schedule 23	Table 1, Schedule 4 Insert of the honorary consultant contract (England)	1 June 2005	PC(M&D) 4/2005
24	Schedule 23	Table 1, Schedule 6 End of 2 nd Sentence Delete	1 June 2005	PC(M&D) 4/2005

Record of amendments log – Volume One – July 2007 22 -

	Schedule no.	Amendment	Date amended	Circular number
		(principles document wording)		
24	Schedule 23	Table 1, Schedule 16 1 st Bulletpoint, 2 nd Sentence Delete	1 June 2005	PC(M&D) 4/2005
		2 nd Premia		
24	Schedule 23	Table 1, Schedule 17 Replace	1 June 2005	PC(M&D) 4/2005
		retain		
		With		
		Have		
24	Schedule 23	Table 1, Schedule 21 Delete	1 June 2005	PC(M&D) 4/2005
		are		
24	Schedule 23	Insert Schedule 24 Maternity Leave and Pay Shall not apply Schedule 25 Employment Breaks Scheme Shall not apply	1 June 2005	PC(M&D) 4/2005
25	Schedule 24	Schedule 1 Maternity Leave and Pay (Temporary Schedule) Introduction	1 June 2005	PC(M&D) 4/2005
		1 Paragraphs 6 to 43 of this Schedule set out the maternity leave and pay entitlements of NHS employees under the NHS contractual maternity leave scheme.		

Record of amendments log – Volume One – July 2007 23 -

Schedule no.	Amendment	Date amended	Circular number
	2 Paragraphs 44 to 47 give information about the position of staff who are not covered by this scheme because they do not have the necessary service or do not intend to return to NHS employment.		
	3 Paragraphs 48 to 52 define the service that can be counted towards the twelve month continuous service qualification set out in paragraph 6 (i) below and which breaks in service may be disregarded for this purpose.		
	4 Paragraphs 53 to 58 explain how to get further information about employees' statutory entitlements.		
	5 These arrangements shall apply in respect of all pregnant employees whose expected week of childbirth begins on or after 5 December 2004. Where locally staff and employer representatives agree arrangements which provide benefits to staff, beyond those provided by this schedule, those local arrangements will apply.		
	Eligibility		
	6 An employee working full-time or part-time will be entitled to paid and unpaid maternity leave under the NHS contractual maternity pay scheme if:		
	- (i) she has twelve months continuous service (see paragraphs 48 to 52) with one or more NHS employers at the beginning of the eleventh week before the expected week of childbirth;		
	- (ii) she notifies her employer in writing before the end of the 15 th week before the expected date of childbirth (or if this is not possible, as soon as is reasonably practicable thereafter):		

Schedule no.	Amendment	Date amended	Circular number
	(a) of her intention to take maternity leave;		
	(b) of the date she wishes to start her maternity leave (but see paragraph 7 below);		
	(c) that she intends to return to work with the same or another NHS employer for a minimum period of three months after her maternity leave has ended;		
	(d) and provides a MATB1 form from her midwife or GP giving the expected date of childbirth.		
	Changing the Maternity Leave Start Date		
	7 If the employee subsequently wants to change the date from which she wishes her leave to start she should notify her employer at least 28 days beforehand (or, if this is not possible, as soon as is reasonably practicable beforehand).		
	Confirming Maternity Leave and Pay		
	8 Following discussion with the employee, the employer should confirm in writing:		
	 the employee's paid and unpaid leave entitlements under this agreement (or statutory entitlements if the employee does not qualify under this agreement); 		
	 (ii) unless an earlier return date has been given by the employee, her expected return date based on her 52 weeks paid and unpaid leave entitlement under this agreement; and 		
	- (iii) the length of any period of accrued annual leave which it has been		

Schedule no.	Amendment	Date amended	Circular number
	agreed may be taken following the end of the formal maternity leave period (see paragraphs 38 and 39 below);		
	 (iv) the need for the employee to give at least 28 days notice if she wishes to return to work before the expected return date. 		
	Keeping in Touch		
	9 Before going on leave, the employer and the employee should also discuss and agree any voluntary arrangements for keeping in touch during the employee's maternity leave including:		
	 (i) any voluntary arrangements that the employee may find helpful to help her keep in touch with developments at work and, nearer the time of her return, to help facilitate her return to work; 		
	 (ii) keeping the employer in touch with any developments that may affect her intended date of return. 		
	Paid Maternity Leave		
	Amount of Pay		
	10 Where an employee intends to return to work the amount of contractual maternity pay receivable is as follows:		
	 (i) for the first eight weeks of absence, the employee will receive full pay, less any Statutory Maternity Pay or Maternity Allowance (including any dependents' allowances) receivable; 		

Schedule no.	Amendment	Date amended	Circular number
	 (ii) for the next 18 weeks, the employee will receive half of full pay plus any Statutory Maternity Pay or Maternity Allowance (including any dependents' allowances) receivable, providing the total receivable does not exceed full pay. 		
	11 By prior agreement with the employer occupational maternity pay may be paid in a different way, for example a combination of full pay and half pay or a fixed amount spread equally over the maternity leave period.		
	Calculation of Maternity Pay		
	12 Full pay will be calculated using the average weekly earnings rules used for calculating Statutory Maternity Pay entitlements, subject to the following qualifications:		
	 (i) in the event of a pay award or annual increment being implemented before the paid maternity leave period begins, the maternity pay should be calculated as though the pay award or annual increment had effect throughout the entire Statutory Maternity Pay calculation period. If such a pay award was agreed retrospectively, the maternity pay should be re-calculated on the same basis; 		
	 (ii) in the event of a pay award or annual increment being implemented during the paid maternity leave period, the maternity pay due from the date of the pay award or annual increment should be increased accordingly. If such a pay award was agreed retrospectively, the maternity pay should be re-calculated on the same basis; 		
	- (iii) in the case of an employee on unpaid sick absence or on sick absence attracting half pay during the whole or part of the period used for calculating average weekly earnings in accordance with the earnings rules for Statutory Maternity Pay purposes, average weekly earnings for the period of sick absence shall be calculated on the basis of notional full sick pay.		

Schedule no.	Amendi	mendment Date ame		Circular number
		Unpaid Contractual Maternity Leave		
	13	Employees will also be entitled to 26 weeks' unpaid leave.		
		Commencement and Duration of Leave		
	14	An employee may begin her maternity leave at any time between eleven weeks before the expected week of childbirth and the expected week of childbirth provided she gives the required notice.		
		Sickness Prior to Childbirth		
	15	If an employee is off work ill, or becomes ill, with a pregnancy related illness during the last four weeks before the expected week of childbirth, maternity leave will normally commence at the beginning of the fourth week before the expected week of childbirth or the beginning of the next week after the employee last worked, whichever is the later. Absence prior to the last four weeks before the expected week of childbirth, supported by a medical statement of incapacity for work, or a self-certificate, shall be treated as sick leave in accordance with normal leave provisions.		
	16	Odd days of pregnancy related illness during this period may be disregarded if the employee wishes to continue working till the maternity leave start date previously notified to the employer.		
		Premature Birth		
	17	Where an employee's baby is born alive prematurely the employee will be entitled to the same amount of maternity leave and pay as if her baby was born at full		

Schedule no.	Amendm	Amendment Da		Circular number
110.		term.	amended	number
	18	Where an employee's baby is born before the eleventh week before the expected week of childbirth and the employee has worked during the actual week of childbirth, maternity leave will start on the first day of the employee's absence.		
	19	Where an employee's baby is born before the eleventh week before the expected week of childbirth and the employee has been absent from work on certified sickness absence during the actual week of childbirth, maternity leave will start the day after the day of birth.		
	20	Where an employee's baby is born before the eleventh week before the expected week of childbirth and the baby is in hospital the employee may spilt her maternity leave entitlement, taking a minimum period of two weeks' leave immediately after childbirth and the rest of her leave following her baby's discharge from hospital.		
		Still Birth		
	21	Where an employee's baby is born dead after the 24 th week of pregnancy the employee will be entitled to the same amount of maternity leave and pay as if her baby was born alive.		
		Miscarriage		
	22	Where an employee has a miscarriage before the 25 th week of pregnancy normal sick leave provisions will apply as necessary.		
		Health and Safety of Employees Pre and Post Birth		
	23	Where an employee is pregnant, has recently given birth or is breastfeeding, the		

Schedule	Amendm	ient	Date amended	Circular number
no.		employer should carry out a risk assessment of her working conditions. If it is found, or a medical practitioner considers, that an employee or her child would be at risk were she to continue with her normal duties the employer should provide suitable alternative work for which the employee will receive her normal rate of pay. Where it is not reasonably practicable to offer suitable alternative work the employee should be suspended on full pay.	amended	number
	24	These provisions also apply to an employee who is breastfeeding if it is found that her normal duties would prevent her from successfully breastfeeding her child.		
		Return to Work		
	25	An employee who intends to return to work at the end of her full maternity leave will not be required to give any further notification to the employer, although if she wishes to return early she must give at least 28 days' notice.		
	26	An employee has the right to return to her job under her original contract and on no less favourable terms and conditions.		
		Returning on Flexible Working Arrangements		
	27	If at the end of maternity leave the employee wishes to return to work on different hours the NHS employer has a duty to facilitate this wherever possible, with the employee returning to work on different hours in the same job. If this is not possible the employer must provide written, objectively justifiable reasons for this and the employee should return to the same grade and work of a similar nature and status to that which they held prior to their maternity absence.		
	28	If it is agreed that the employee will return to work on a flexible basis, including changed or reduced hours, for an agreed temporary period this will not affect the		

Schedule no.	Amendm	nent	Date amended	Circular number
		employee's right to return to her job under her original contract at the end of the agreed period.		
		Sickness Following the End of Maternity Leave		
	29	In the event of illness following the date the employee was due to return to work normal sick leave provisions will apply as necessary.		
		Failure to Return to Work		
	30	If an employee who has notified her employer of her intention to return to work for the same or a different NHS employer in accordance with paragraph 6 (ii) (c) above fails to do so within 15 months of the beginning of her maternity leave she will be liable to refund the whole of her maternity pay, less any Statutory Maternity Pay, received. In cases where the employer considers that to enforce this provision would cause undue hardship or distress the employer will have the discretion to waive their rights to recovery.		
		Miscellaneous Provisions		
		Fixed – Term Contracts or Training Contracts		
	31	Employees subject to fixed-term or training contracts which expire after the eleventh week before the expected week of childbirth and who satisfy the conditions in paragraphs 6 (i), 6 (ii) (a), 6 (ii) (b) and 6 (ii) (d) shall have their contracts extended so as to allow them to receive the 26 weeks paid contractual maternity leave set out in paragraph 10 above.		
	32	Absence on maternity leave (paid and unpaid) up to 52 weeks before a further NHS appointment shall not constitute a break in service.		

Schedule no.	Amendment		Date amended	Circular number
	33	If there is no right of return to be exercised because the contract would have ended if pregnancy and childbirth had not occurred the repayment provisions set out in paragraph 30 above will not apply.		
	34	Employees on fixed-term contracts who do not meet the twelve months continuous service condition set out in paragraph 6 (i) above may still be entitled to Statutory Maternity Pay.		
		Rotational Training Contracts		
	35	Where an employee is on a planned rotation of appointments with one or more NHS employers as part of an agreed programme of training, she shall have the right to return to work in the same post or in the next planned post irrespective of whether the contract would otherwise have ended if pregnancy and childbirth had not occurred. In such circumstances the employee's contract will be extended to enable the practitioner to complete the agreed programme of training.		
		Contractual rights		
	36	During maternity leave (both paid and unpaid) an employee retains all of her contractual rights except remuneration.		
		Increments		
	37	Maternity leave, whether paid or unpaid, shall count as service for annual increments and for the purposes of any service qualification period for additional annual leave.		
		Accrual of Annual Leave		

Schedule no.	Amendm	Amendment Da am		Circular number
	38	Annual leave will continue to accrue during maternity leave, whether paid or unpaid, provided for by this agreement.		
	39	Where the amount of accrued annual leave would exceed normal carry over provisions, it may be mutually beneficial to both the employer and employee for the employee to take annual leave before and/or after the formal (paid and unpaid) maternity leave period. The amount of annual leave to be taken in this way, or carried over, should be discussed and agreed between the employee and employer.		
		Pensions		
	40	Pension rights and contributions shall be dealt with in accordance with the provisions of the NHS Superannuation Regulations.		
		Antenatal Care		
	41	Pregnant employees have the right to paid time off for antenatal care. Antenatal care may include relaxation and parent-craft classes as well as appointments for antenatal care.		
		Post-natal Care and Breastfeeding Mothers		
	42	Women who have recently given birth should have paid time off for post-natal care e.g. attendance at health clinics.		
	43	Employers are required to provide breast-feeding women with suitable rest facilities. The Health and Safety Executive also encourages employers to provide a healthy and safe environment for women who are breast-feeding with suitable		

Schedu no.			Date amended	Circular number
		access to a private room to express and store milk.		
		Employees Not Returning to NHS Employment		
	44	An employee who satisfies the conditions in paragraph 6, except that she does not intend to work with the same or another NHS employer for a minimum period of three months after her maternity leave is ended, will be entitled to pay equivalent to Statutory Maternity Pay, which is paid at 90% of her average weekly earnings for the first six weeks of her maternity leave and to a flat rate sum for the following 20 weeks.		
		Employees With Less Than Twelve Months Continuous Service		
	45	If an employee does not satisfy the conditions in paragraph 6 for occupational maternity pay she may be entitled to Statutory Maternity Pay. Statutory Maternity Pay will be paid regardless of whether she satisfies the conditions in paragraph 6. If her earnings are too low for her to qualify for Statutory Maternity Pay, or she does not qualify for another reason, she should be advised to claim Maternity Allowance from her local Job Centre Plus or social security office.		
	46	Employees who fall into the category set out in paragraph 45 but intend to return to NHS employment will also be entitled to a further period of 26 weeks' unpaid maternity leave.		
	47	Paragraphs 53 to 58 contain further information on statutory maternity entitlements.		
		Continuous Service		
	48	For the purposes of calculating whether the employee meets the twelve months		

Record of amendments log – Volume One – July 2007 34 -

Schedule no.	Amendment	Date amended	Circular number
	 continuous service with one or more NHS employers qualification set out in paragraph 6 (i) the following provisions shall apply: (i) NHS employers includes health authorities, NHS Boards, NHS Trusts, 		
	 Primary Care Trusts and the Northern Ireland Health Service; (ii) a break in service of three months or less will be disregarded (though not count as service). 		
	49 The following breaks in service will also be disregarded (though not count as service);		
	- (i) employment under the terms of an honorary contract;		
	 (ii) employment as a locum with a general practitioner for a period not exceeding twelve months; 		
	 (iii) a period of up to twelve months spent abroad as part of a definite programme of postgraduate training on the advice of the Postgraduate Dean or College or Faculty Advisor in the speciality concerned; 		
	 (iv) a period of voluntary service overseas with a recognised international relief organisation for a period of twelve months which may exceptionally be extended for twelve months at the discretion of the employer which recruits the employee on her return; 		
	 (v) absence on a employment break in accordance with the provisions of Schedule 25; 		
	 (vi) absence on maternity leave (paid or unpaid) as provided for under this agreement. 		

Schedule no.	Amend	ment	Date amended	Circular number
	50	Employers may at their discretion extend the period specified in paragraphs 48 (ii) and 49.		
	51	Employment as a trainee with a General Medical Practitioner in accordance with the provisions of the Trainee Practitioner Scheme shall similarly be disregarded and count as service.		
	52	Employers have the discretion to count other previous NHS service or service with other employers.		
		Information About Maternity Rights and Statutory Maternity Pay		
	53	Information about all maternity rights is contained in the following Department of Trade and Industry (DTI) booklet:-		
		Maternity Rights: a guide for employers and employees (URN 99/1191).		
	54	Copies of this booklet can be obtained by telephoning 0870 – 1502 500. It is also available from the DTI website at:- http://www.dti.gov.uk/er/individual/maternity.pdf		
	55	Information on Statutory Maternity Pay and Maternity Allowance entitlements is contained in the following Department for Work and Pensions (DWP) booklet:-		
		- (i) A Guide To Maternity Benefits (NI 17A)		
	56	Copies of this booklet can be obtained from local benefits offices.		
	57	Further information on Statutory Maternity Pay and Maternity Allowance		

Record of amendments log – Volume One – July 2007 36 -

	Schedule no.	Amendment	Date amended	Circular number
		 entitlements is also available on the DWP website at:- <u>http://www.dwp.gov.uk/lifeevent/famchild/ind</u> 58 Further information for new and expectant mothers at work is available on the Health and Safety Executive website at:- <u>www.hse.gov.uk</u> 		
26	Schedule 25	Schedule 25 Employment Break Scheme (Temporary Schedule)	1 June 2005	PC(M&D) 4/2005
		General		
		1. NHS employers should provide all staff with access to an employment break scheme.		
		2. The scheme should be agreed between employers and local staff representatives.		
		3. The scheme should be viewed with others, particularly those relating to flexible working, balancing work and personal life, and provisions for carers, as part of the commitment to arrangements which enable employees to balance paid work with their other commitments and responsibilities.		
		4. The scheme should also enable employers to attract and retain the experience of staff consistent with the NHS commitment to the provision of high quality healthcare.		
		5. The scheme should provide for people to take a longer period away from work than that provided for by the parental leave and other leave arrangements.		

Schedule no.	Amendment	Date amended	Circular number
	Scope		
	6. The scheme should explicitly cover the main reasons for which employment breaks can be used, including childcare, eldercare, care for another dependant, training, study leave or work abroad. It should also indicate that other reasons will be considered on their merits.		
	7. People on employment breaks will not normally be allowed to take up paid employment with another employer except where, for example, work overseas or charitable work could broaden experience. In such circumstances written authority from the employer would be necessary.		
	Eligibility		
	8. The employment break scheme should normally be open to all employees who have a minimum of twelve months' service.		
	9. Applications should be submitted in writing and notice periods should be clearly stated in an agreement between the employee and employer.		
	Length of Break		
	10. The maximum length of break should be five years.		
	11. Breaks should be able to be taken either as a single period or as more than one period.		
	12. The minimum length of break should be three months.		

Schedule no.	Ame	ndment	Date amended	Circular number
	13.	The length of any break should balance the needs of the applicant with the needs of the service.		
	14.	The scheme should have provision for breaks to be extended with appropriate notice, or for early return from breaks.		
	15.	All breaks should be subject to an agreement between the employer and applicant before the break begins. The agreement should cover:		
		- the effect of the break on various entitlements related to length of service;		
		- a guarantee that, if the applicant returns to work within one year, the same job will be available, as far as is reasonably practicable;		
		- if the break is longer than one year, the applicant may return to as similar a job as possible;		
		- return to work at the equivalent salary level, reflecting increases awarded during the break;		
		- the notice period required before the return to work should be two months if the break is less than a year and six months if the break is more than a year;		
		- arrangements for keeping in touch during the break;		
		- requirements on the applicant to keep up to date with their relevant professional registration needs, including attendance at specified training courses and conferences, and any assistance the employer may give in the support of this;		

Schedule no.	Amen	ndment	Date amended	Circular number
		- training arrangements for re-induction to work;		
		- any other conditions required either by the employer or the applicant.		
		Return to Work		
	16.	Applicants should not have to resign to take an employment break, although there will be a change to the contract of employment.		
	17.	The period of the break should count toward continuous employment for statutory purposes.		
	18.	Other provisions depending upon length of service, i.e. pensions, contractual redundancy payments, leave entitlements etc, should be suspended for the period of the break.		
		Appeals		
	19.	Applicants should be entitled to a written reason for the refusal of any application.		
	20.	Applicants may resort to the grievance procedure if a request for a break is refused.		
		Monitoring and Review		
	21.	All records of applications and decisions should be kept for a minimum of twelve months.		
	22.	The operation of the scheme should be monitored annually by employers in partnership with local staff representatives.		

Record of amendments log – Volume One – July 2007 40 -

	Schedule no.	Amendment	Date amended	Circular number
27	Front Page –	Insert	1 March 2007	Pay Circular
	Contents	Schedule 26 Redundancy Pay (Temporary Schedule)		(M&D) 1/ 2007
28	Schedule 19	Paragraph 9 Replace If a consultant's employment is terminated because of redundancy within the meaning of section 139 of the Employment Rights Act 1996, or the circumstances described in section 45 of the General Council Conditions of Service, then provided that her or she has two years or more continuous service, entitlement will be in accordance with section 45 of the General Council Conditions. With If a consultant's employment is terminated because of redundancy within the meaning of section 39 of the Employment Rights Act 1996, or in the circumstances described in Schedule	1 March 2007	Pay Circular (M&D) 1/ 2007
		26, then provided that he or she has two years or more continuous service, entitlement will be in accordance with Schedule 26.		
29	Schedule 20	Deletion of reference to: S 45 Arrangements for Redundancy Payments	1 March 2007	Pay Circular (M&D) 1/ 2007
		Insertion of wording: NB – Please note that Redundancy Pay arrangements are now covered in Temporary Schedule 26		
30	Schedule	Table 1 – Application of Schedules to Honorary Consultant Posts	1 March	Pay

Record of amendments log – Volume One – July 2007 41 -

	Schedule	Amendment	Date	Circular
	no.		amended	number
	23	Insert Schedule 26 Redundancy Pay Shall not apply	2007	Circular (M&D) 1/ 2007
31	Schedule 26	Insert Schedule 26 Redundancy pay (Temporary Schedule)	1 March 2007	Pay Circular (M&D) 1/ 2007
		1. This section sets out the arrangements for redundancy pay for employees dismissed by reason of redundancy who, at the date of termination of their contract, have at least 104 weeks of continuous full-time or part-time service. These take effect from 1 October 2006. It also sets out the arrangements for early retirement on grounds of redundancy and in the interests of the service for those who are members of the NHS pension scheme and have at least two years continuous full time or part time service and two years qualifying membership in the NHS pension scheme. Pension changes take effect from 1 December 2006. It further sets out transitional arrangements from 1 December 2006 to 30 September 2011 for staff aged over 50 at the time of redundancy who are members of the NHS Pension scheme with at least five year's pensionable service.		
		Definition of Redundancy		
		2. The Employment Rights Act 1996 Section 139 states that redundancy arises when employees are dismissed in the following circumstances:		
		 "where the employer has ceased, or intends to cease, to carry on the business for the purposes of which the employee was employed; or where the employer has ceased, or intends to cease, to carry on the business in the place where the employee was so employed; or where the requirements of the business for employees to carry out work of a particular kind, in the place where they were so employed, have ceased or diminished or are expected to cease or diminish". 		

Schedule no.	Amendment	Date amended	Circular number
	Qualification for a Redundancy Payment		
	3. To qualify for a redundancy payment, the member of staff must be an employee, working under a contract of employment for an NHS employer. 'NHS employer' means NHS trusts, primary care trusts, strategic health authorities and special health authorities and any predecessor or successor body. Non executive directors of NHS organisations do not qualify. Contracts of employment may be written or verbal, and can be for a fixed period or be continuous. In law, employees have a contract as soon as they start work and in accepting and undertaking the work required they accept the terms and conditions offered by the employer. To qualify for a redundancy payment the employee must also have at least 104 weeks of continuous full time or part time service.		
	Definition of Continuous Service		
	4. "Continuous service" means full-time or part-time employment with the present or any previous NHS Employer. If with more than one NHS employer, there must not have been a break of more than a week (measured Sunday to Saturday) between employments.		
	Definition of Reckonable Service		
	5. "Reckonable service" for the purposes of an NHS redundancy payment, which is calculated on the basis of the service up to the date of termination of the contract, means continuous full-time or part-time employment with the present or any previous NHS employer but with the following additions:		
	 where there has been a break in service of 12 months or less the period of employment prior to the break will count as reckonable service; periods of employment as a trainee with a general medical practitioner in 		

Schedule no.	Amendment	Date amended	Circular number
	 accordance with the provisions of the Trainee Practitioner Scheme will count as reckonable service; at employer discretion, any period or periods of employment with employers outside the NHS where these are judged to be relevant to NHS employment can be included in reckonable service. 		
	 6. The following employment will not count as reckonable service: employment that has been taken into account for the purposes of a previous redundancy, or loss of office payment by an NHS employer; where the employee has previously been given pension benefits, any employment that has been taken into account for the purposes of those pension benefits. 		
	Definition of a Months Pay		
	 7. "Months pay" means whichever is the more beneficial of the following calculations: 4.35 times a week's pay calculated in accordance with the provisions of Section 221 to 229 of the Employment Rights Act 1996; an amount equal to 1/12th of the annual salary in payment at the date of termination of employment. 		
	Calculation of Redundancy Payment		
	8. The redundancy payment will take the form of a lump sum, dependent on the employee's reckonable service at the date of termination of employment. The lump sum will be calculated on the basis of one month's pay for each complete year of reckonable service subject to a minimum of two years (104 weeks) continuous service and a maximum of 24 year's reckonable service being counted.		

Schedule no.	Amendment	Date amended	Circular number
	 Fractions of a year of reckonable service will not be taken into account. Early Retirement on Grounds of Redundancy for Employees entitled to pension benefits 		
	Qualification Criteria		
	 10. Members of the NHS Pension Scheme who are made redundant and meet the conditions set out above in paragraphs 3 to 6, may choose to retire early without reduction in the value of pension benefits as an alternative to receiving the full lump sum benefit set out in paragraph 8. To qualify for early retirement the member of staff must: Be a member of the NHS Pension Scheme; Have at least two years' continuous service and two years' qualifying membership; Have reached the minimum pension age. The Finance Act 2004 allows for protection 		
	of a minimum pension age of 50 for members who had the right to take reduced benefits at that age on 5 April 2006. This protection may continue as long as members retiring early after 6 April 2010 take all their benefits payable under scheme rules. In the NHS Scheme, for those without this protection, members who first joined and some who returned to the scheme after 6 April 2006, minimum pension age will change from 50 to 55 from 6 April 2010.		
	Definition of Qualifying Membership		
	11. 'Qualifying membership' is membership that counts towards entitlement for benefits. Pensionable membership is membership that counts when benefits are calculated. This may be different from reckonable service for the purposes of a redundancy payment as it can include pensionable service from previous periods of employment with the NHS or another employer and periods of part time working.		

Schedule no.	Amendment	Date amended	Circular number
	Use of Redundancy Payment to pay for Early Retirement		
	12. If the redundant member of staff chooses to take early retirement with an unreduced pension under these arrangements, they will receive immediately the full value of their qualifying pension benefits at the point of redundancy without the actuarial reduction that would occur with voluntary early retirement. Their employer will pay the relevant NHS pension scheme a sum equivalent to the capitalised cost of paying the pension and lump sum early; either as one payment or in five instalments.		
	13. This sum will be paid from the lump sum redundancy payment that otherwise would have been paid to the employee. If the cost to the employer of paying by single payment for early retirement is less than the value of the redundancy payment that the member would have received under paragraph 8 then the redundant employee will also receive from the employer a redundancy payment equivalent to the difference between the two sums. The cost to the employer would therefore normally be the same as if the employee had chosen to take a redundancy payment without unreduced early retirement. However, if the cost of early retirement is more than the redundancy payment due, the employer will pay the additional cost. If the employer chooses to pay in five instalments, the employer is responsible for the additional interest charge.		
	Treatment of Concurrent Pensionable Employment		
	14. Where there is concurrent pensionable employment, members may choose between:		
	 Ceasing all pensionable employment and taking early retirement on the terms set out below in respect of each employment in which case they cannot be pensionable again in the current scheme (normal pension age of 60). (An employment may continue if it is not more than 16 hours a week, without affecting the payment of enhanced benefits, but it will not be pensionable in the scheme) and: Taking benefits only in respect of the employment that is being terminated, in which 		

Schedule no.	Amendment	Date amended	Circular number
	 case they can continue being pensionable in other employments. After 6 April 2010, this will not apply if taking benefits under the age of 55. Members with concurrent practitioner and non-practitioner employments, who choose to cease all pensionable employments, will receive only their non-practitioner benefits on redundancy grounds. Where appropriate, benefits for practitioner membership may be taken on an early retirement basis with an actuarial reduction or preserved for payment at age 60. 		
	15. The employer who authorises early retirement will be responsible for the pension costs accruing from other terminating employment. If a member returns to work after taking their pension, their pension will be abated, if the combined value of their pension and salary is greater than they earned prior to retirement. This will continue until they reach their normal pension age.		
	Exclusion from eligibility		
	16. Employees shall not be entitled to redundancy payments or early retirement on grounds of redundancy if:		
	 they are dismissed for reasons of misconduct, with or without notice; or at the date of the termination of the contract have obtained without a break, or with a break not exceeding four weeks, suitable alternative employment with the same or another NHS employer; or unreasonably refuse to accept or apply for suitable alternative employment with the same or another NHS employer; or leave their employment before expiry of notice, except if they are being released parts (accept or apply for suitable alternative are being released parts (accept or apply for suitable alternative are being released parts (accept or apply for suitable alternative are being released parts (accept a parts (accept or apply for suitable alternative) are being released parts (accept a parts (accept a parts (accept or apply for suitable)) are another for a parts (accept a par		
	 early (see paragraphs 20 to 21 below); or are offered a renewal of contract (with the substitution of the new employer for the previous NHS one); where their employment is transferred to another public service employer who is not 		

Schedule no.	Amendment	Date amended	Circular number
	an NHS employer.		
	Suitable alternative employment		
	17. Employers have a responsibility before making a member of staff redundant or agreeing early retirement on grounds of redundancy to seek suitable alternative employment for that person, either in their own organisation or through arrangements with another NHS employer. Employers should avoid the loss of staff through redundancy wherever possible to retain valuable skills and experience where appropriate within the local health economy.		
	18. 'Suitable alternative employment', for the purposes of paragraph 17, should be determined by reference to Sections 138 and 141 of the Employment Rights Act 1996. In considering whether a post is suitable alternative employment, regard should be had to the personal circumstances of the employee. Employees will, however, be expected to show some flexibility.		
	19. For the purposes of this scheme any suitable alternative employment must be brought to the employee's notice in writing or by electronic means agreed with the employee before the date of termination of contract and with reasonable time for the employee to consider it. The employment should be available not later than four weeks from that date. Where this is done, but the employee fails to make any necessary application, the employee shall be deemed to have refused suitable alternative employment. Where an employee accepts suitable alternative employment the 'trial period' provisions in Section 138 (3) of the Employment Rights Act 1996 will apply.		
	Early release of redundant employees		
	20. Employees who have been notified of the termination of their employment on grounds of redundancy, and for whom no suitable alternative employment in the NHS is available,		

Schedule no.	Amendment	Date amended	Circular number
	 may, during the period of notice, obtain other employment outside the NHS. 21. If they wish to take this up before the period of notice of redundancy expires the employer will, unless there are compelling reasons to the contrary, release such employees at their request on a mutually agreeable date. That date will become the revised date of redundancy for the purpose of calculating any entitlement to a redundancy payment under this agreement. 		
	Claim for redundancy payment		
	22. Claims for redundancy payment or retirement on grounds of redundancy must be submitted within six months of date of termination of employment. Before payment is made the employee will certify that:		
	 they had not obtained, been offered or unreasonably refused to apply for or accept suitable alternative Health Service employment within four weeks of the termination date; 		
	 they understand that payment is made only on this condition and undertake to refund it if this condition is not satisfied. 		
	Retrospective Pay Awards		
	23. If a retrospective pay award is notified after the date of termination of employment then the redundancy payment and/or pension will be recalculated, and any arrears due paid,		
	Disputes		
	24. An employee who disagrees with the employer's calculation of the amount of redundancy payment or the rejection of a claim for redundancy payment should make representations to the employer via local grievance procedures. See also paragraph 22		

Schedule no.	Amendment	Date amended	Circular number
	about making a claim for a redundancy payment.		
	Early Retirement in the Interests of the Efficiency of the Service		
	25. Members of the NHS Pension Scheme will receive payment of benefits without reduction if they retire early in the interests of the efficiency of the service, and they satisfy the qualifying conditions set out in paragraph 10. Retiring early in the interests of the service is a flexibility available at employer discretion. In these cases, no redundancy payment is due. In agreeing to retirement in the interests of the service, the employer undertakes to pay the costs of paying the pension and lump sum early. Employers will need to ensure that they exercise this discretion appropriately and will be conscious of the implications of any potential discrimination on grounds of age, sex, race, religion or disability.		
	26. These arrangements are aimed at employees who have given valuable NHS service in the past but are no longer capable of doing so. This might be because of new or expanded duties or a decline in the ability to perform existing duties efficiently but not so as to qualify them for ill health retirement. Employers would be expected to consider alternatives before agreeing to early retirement.		
	27. The relevant NHS pension scheme certifies the grounds on which early retirement is taking place. The scheme does so on the basis of the information provided by the employer. In each case, therefore, an appropriate senior manager should authorise the early retirement, ensuring that the relevant criteria have been met.		
	Employer Responsibilities		
	28. Employer contributions to the NHS pension scheme do not cover the costs of early retirement benefits. There is a requirement for NHS employers to pay these costs if they retire staff early on grounds of redundancy or in the interests of the service.		

Schedule no.	Amendment	Date amended	Circular number
	Transitional Arrangements: 1 October 2006 to 30 September 2011		
	29. There will be transitional arrangements in place from 1 December 2006 to 30 September 2011. These transitional arrangements apply to staff:		
	 whose continuous NHS service and/or pension scheme membership began before 1 October 2006 who are aged over 50 on 30 September 2006 or who reach 50 during the transition period: 1 October until 30 September 2011; (after 6 April 2010 subject to the rules on minimum pension age set out in paragraph 10) who are members of the NHS Pension scheme and have at least five years qualifying membership in the scheme at the date of redundancy. 		
	30. Employees who are made redundant and qualify for transitional protection can choose between a redundancy payment under the new arrangements and payment under transitional protection. The transitional arrangements for early retirement (but not the redundancy payment) will also apply to staff given early retirement in the interests of the service and who meet the qualifying conditions in paragraph 29.		
	31. Transitional protection has two phases. The first phase applies from 1 December 2006 to 30 June 2007. During this phase, the maximum pension that an employee can receive on taking redundancy retirement is that to which they would have been entitled had they been made redundant under the old agreement on 30 September 2006.		
	32. The second phase is from 1 July 2007 to 30 September 2011. During this phase, as well as freezing the maximum enhanced pension at that which would have been available on 30 September 2006, there will be a further reduction so that all enhancements are removed by 30 September 2011.		

Schedule no.	Amendment	Date amended	Circular number
	33. The date used to calculate the level of both final pensionable pay and of salary for redundancy payment under the transition will be set by reference to the actual date of redundancy.		
	Calculation of Baseline Entitlement During Transition		
	34. For employees taking advantage of the transitional arrangements, and subject to a maximum of 20 years' reckonable service being counted, the lump sum redundancy payment will be calculated based on the arrangements in place before 1 October 2006 as follows. Based on service at 30 September 2006:		
	 1 1/2 week's pay for each complete year of reckonable service at age 41 or over one week's pay for each complete year of reckonable service at age 22 or over but under 41 1/2 week's pay for each complete year of reckonable service at age 18 or over but under 22 		
	 overall maximum 30 week's pay. 		
	35. Fractions of a year of reckonable service will not be taken into account except that they may be aggregated under paragraph 34 above to make complete years. The lowest weeks' pay multiplier relevant to the employee's calculation will apply to the complete year aggregated.		
	Reduction to Baseline Entitlement		
	36. Redundant employees who are entitled to an enhancement of their pension benefits on ceasing to be employed will, if the enhancement of service if they had been made redundant on 30 September 2006 is less than 10 years, be entitled to receive a redundancy payment. Where the enhancement of service does not exceed 6 2/3 years		

Schedule no.	Amendment	Date amended	Circular number
	they will be paid in full; where the enhancement of service exceeds 6 2/3 years they will be reduced by 30 per cent in respect of each year of enhanced service over 6 2/3 years with pro-rata reduction for part years.		
	37. The redundancy payment made under these transitional arrangements will be based on the number of week's service applicable for a redundancy on 30 September 2006 along with the reduction for enhancement greater than 6 2/3 years that would have been made had the redundancy taken place on that date. If there has been a break in continuous service between 1 October 2006 and the date of redundancy, then the payment would be based on the number of years continuous service at the date of redundancy.		
	38. As a baseline calculation for transitional protection all employees eligible for premature payment of pension and compensation benefits under the terms of this agreement on transition shall have their reckonable years in the NHS scheme at 30 September 2006 doubled subject to a maximum enhancement of ten added years. Total reckonable years (including enhancements) will in all cases be limited to the lesser of:		
	 the total reckonable service that would have been attained by continuing in service to retirement age; or 40 years; provided that: the enhancement of reckonable service for employees with relevant optant service shall be based on the aggregate of their reckonable NHS service and their relevant optant service. 		
	Transition Phase One: 1 October 2006 to 30 June 2007		
	39. For redundancies from 1 October 2006 until 1 December 2006, when the regulations to give effect to the transition are introduced, employees will receive enhanced pension based on the pre 1 October arrangements including the calculation of redundancy payment.		

	Schedule no.	Amendment	Date amended	Circular number
		40. From 1 December 2006 to 30 June 2007, the enhancement that the employee will be eligible to receive will be the enhancement on which the pension would have been based had they been made redundant on 30 September 2006, less the number of days since 30 September 2006. For those who have any part time membership, the reduction in enhancement will be scaled down according to the scaling factor applicable at 30 September 2006.		
		Transition Phase Two: 1 July 2007 to 30 September 2011.		
		 41. During this phase, maximum enhancement available to the employee made redundant will continue to be the enhancement available on 30 September 2006 less the number of days since 30 September 2006. There will be a further reduction in entitlement to enhancement. For those whose enhancement on 30 September 2006 would have been greater than five years, the additional amount of service enhancement over five years should be reduced by 1/60th for each whole month that has elapsed between 30 September 2006 and the date of redundancy. The effect of the two transition elements together is that after each year of transition, the maximum enhancement would be reduced by two years until no enhancement is available from 1 October 2011. 42. Paragraphs 29 to 42 will be removed from this agreement on 1 October 2011. 		
32	Schedule	Replace	2 April	PC(M&D)
	16	Schedule 16 Pay Supplements	2007	3/2007
		 On-Call Availability Supplement 1. If a consultant is required to participate in an on-call rota, he or she will be paid a supplement in addition to basic salary in respect of his or her availability to work during on-call periods. The supplement will be paid at the appropriate rate set out in Table 1 		

Record of amendments log – Volume One – July 2007 54 -

Schedule no.	Amendment	Date amended	Circular number
	 below. 2. The level of supplement will depend on the consultant's rota frequency and the category of the consultant's on-call duties. The consultant's rota frequency for these purposes will be determined by reference to the number of consultants on the relevant rota and without regard to any alternative arrangements that the consultant may make with colleagues to provide on-call cover. 		
	3. The employing organisation will determine the category of the consultant's on-call duties for these purposes by making a prospective assessment of the typical nature of the response that the consultant is likely to have to undertake when called during an on-call period. This assessment will take into account the nature of the calls that the consultant typically receives whilst on-call. The two categories are:		
	• Category A: this applies where the consultant is typically required to return immediately to site when called or has to undertake interventions with a similar level of complexity to those that would normally be carried out on site, such as telemedicine or complex telephone consultations;		
	• Category B: this applies where the consultant can typically respond by giving telephone advice and/or by returning to work later.		
	4. Where there is a change to the consultant's rota frequency or the categorisation of the consultant's on-call duties, the level of the supplement will be amended on a prospective basis. Where this results in a reduction in the level of supplement, there will not be any protection arrangements in relation to previous entitlements. The consultant is entitled to challenge any changes to the assessment of on-call duties through the Job Planning process.		
	5. The supplement does not alter the amount of basic salary for any other purpose or calculation.		

Schedule no.	Amendment			Date amended	Circular number
	Table 1				
	Frequency of Rota Commitment	Value of supplement as a basic salary	percentage of full-time		
		Category A	Category B		
	High Frequency: 1 in 1 to 1 in 4	8.0%	3.0%		
	Medium Frequency: 1 in 5 to 1 in 8	5.0%	2.0%		
	Low Frequency: 1 in 9 or less frequent	3.0%	1.0%		
	discretionary points, or supplement, recruiting supplements.7. Part-time consultants	distinction awards, London Wei nent or retention premium, an s will receive the appropriate pe	y thresholds. It will exclude a ghting allowance, on-call availabil nd any other fees, allowances ercentage of the equivalent full-tir	or ne	
	consultants on the sa	•	are the same as those of full-tir	ne	
		lace of work (i.e. where his or	her principal duties lie) is within t		
	units designated by Service (or subsequ	paragraph 10, of section 56 of	ed by paragraph 5, or in one of t the General Council Conditions aid London weighting at the ra I rates of pay.	of	

Schedule no.	Amendment	Date amended	Circular number
	boundaries of the former health authorities designated by paragraph 12 of section 56 of the General Council Conditions of Service (or subsequent replacement) shall be paid London Weighting at the rate for the 'Fringe Zone' specified from time to time in letters advising national rates of pay, unless he or she is employed at a unit described in paragraph 8 above.		
	10. A reduced rate of allowance is payable to resident staff who receive free accommodation.		
	11. Part time consultants shall receive the appropriate proportion of London Weighting.		
	Recruitment and Retention Premia		
	12. An employing organisation may under certain circumstances decide to award a recruitment or a retention premium in addition to basic salary. This may be paid either as a single sum, or on recurrent basis but for a time-limited period. If the latter, the period in question will not typically last for more than four years.		
	13. Employing organisations will determine the value of any such premium and may adjust its value from time to time to take account of changing circumstances. The value of the premium will not typically exceed 30 per cent of the normal starting salary for a consultant post.		
	14. Before making such an award, employing organisations will:		
	 set out evidence of difficulties in recruiting and retaining consultants in the particular specialty, or post in question; 		
	 set out evidence that they have adequately considered and tried non-pay solutions to such difficulties; 		
	• consult with other NHS employing organisations and other appropriate bodies such as the Strategic Health Authority for the area in question.		

Schedule no.	Amendment	Date amended	Circular number
	 Other Payments and Allowances 15. A consultant may be entitled to certain other payments and allowances at the discretion of the employing authority. 		
	 Directors of Public Health 16. Directors of Public Health will be entitled to supplements in addition to basic salary (in line with the provisions in Schedule 13 or Schedule 14) within the range as specified from time to time in letters advising national rates of pay. These supplements will depend on the band within which their post falls and the weight of the post as assessed by their employing organisation. Band A applies to regional posts, irrespective of population. The definition of the relevant bands is set out below: 		
	Band A: Director of Public Health – Regional Posts Band B: Director of Public Health – population over 450,000 Band C: Director of Public Health – population 250,000 - 449,999 Band D: Director of Public Health – population 50,000 - 249,999		
	17. Supplements shall be an element of remuneration and shall be superannuable.		
	18. Population shall be reviewed annually at 1 April. The relevant population for this purpose shall be the Registrar General's estimate of the home population for the employing organisation at the previous 30 June.		
	19. If the home population for the employing organisation increases to a higher population band for one year only, this shall have no effect on the minimum supplement. If the rise to a higher population band is confirmed by the next year's estimate, a review of the supplement payable should be completed within six months. Payment of any increased supplement following such a review shall be made with retrospective effect from 1 April of the previous year.		

Schedule no.	Amendment	Date amended	Circular number
	20. If the home population for the employing organisation falls to a lower population band for one year only, this shall have no effect on the minimum supplement. If the fall in population is confirmed by the next year's estimate, a review of the supplement payable should be completed within six months. Where this would result in a reduction in the value of the supplement, an officer shall retain the cash value of his or her existing supplement for so long as that remains more favourable.		
	With		
	Schedule 16 Pay Supplements		
	 On-Call Availability Supplement 1.If a consultant is required to participate in an on-call rota, he or she shall be paid a supplement in addition to basic salary, in recognition of his or her availability to work during on-call periods. The availability supplement will be paid at the appropriate rate set out in Table 1 below. 		
	2. The level of supplement will depend on both		
	 the contribution of the consultant to the on-call rota and the category of the consultant's on-call duties. 		
	 <u>Contribution to the rota</u> Full-time consultants shall receive the availability supplement as specified in Table 1 below. Part-time consultants, whose contribution when on-call is the same as that of full-time consultants on the same rota, shall receive the appropriate percentage of the equivalent full-time salary. The contribution of any consultant to the rota will be determined without regard to any alternative arrangements that the consultant may make with colleagues to provide on-call cover. 		

Schedule no.	Amendment	Date amended	Circular number
	 <u>Category of on-call duties</u> The employing organisation will determine the category of the consultant's on-call duties for these purposes by making a prospective assessment of the typical nature of the response that the consultant is likely to have to undertake when called during an on-call period. This assessment will take into account the nature of the calls that the consultant typically receives whilst on-call. The two categories are: 		
	• <u>Category A</u> : this applies where the consultant is typically required to return immediately to site when called or has to undertake interventions with a similar level of complexity to those that would normally be carried out on site, such as telemedicine or complex telephone consultations;		
	• <u>Category B</u> : this applies where the consultant can typically respond by giving telephone advice and/or by returning to work later.		
	5 Where there is a change to the consultant's contribution to the rota or the categorisation of the consultant's on-call duties, the level of the availability supplement will be amended on a prospective basis. Where this results in a reduction in the level of availability supplement, there will be no protection arrangements in relation to previous entitlements. The consultant is entitled to challenge any changes to the assessment of on-call duties through the Job Planning process.		
	6. The availability supplement does not alter the amount of basic salary for any other purpose or calculation.		
	7. Basic salary, for these purposes, will include pay thresholds. It will exclude any Clinical Excellence Awards, Discretionary Points, Distinction Awards, London Weighting Allowance, on-call availability supplement, recruitment or retention premium, and any other fees, allowances or supplements.		

Schedule no.	Amendment			Date amended	Circular number
 	Table 1				
	On-call Availability Suppler				
	Frequency of Rota		ment as a percentage of full-		
	Commitment	Category A	sic salary Category B		
	High Frequency: 1 in 1 to 1 in 4	8.0%	3.0%		
	Medium Frequency: 1 in 5 to 1 in 8	5.0%	2.0%		
	Low Frequency: 1 in 9 or less frequent				
	 Part-time consultants salary, provided their consultants on the sar 				
	London Weighting Allowan	се			
	the the s of rate				
	boundaries of the forme	er health authorities designat	her principal duties lie) is within ed by paragraph 12 of section 5 sequent replacement) shall be p	6 of	

Schedule no.	Amendment	Date amended	Circular number
	London Weighting at the rate for the 'Fringe Zone' specified from time to time in letters advising national rates of pay, unless he or she is employed at a unit described in paragraph 8 above.		
	11. A reduced rate of allowance is payable to resident staff who receive free accommodation.		
	12. Part time consultants shall receive the appropriate proportion of London Weighting.		
	 Recruitment and Retention Premia 13. An employing organisation may under certain circumstances decide to award a recruitment or a retention premium in addition to basic salary. This may be paid either as a single sum, or on recurrent basis but for a time-limited period. If the latter, the period in question will not typically last for more than four years. 		
	14. Employing organisations will determine the value of any such premium and may adjust its value from time to time to take account of changing circumstances. The value of the premium will not typically exceed 30 per cent of the normal starting salary for a consultant post.		
	15. Before making such an award, employing organisations will:		
	• set out evidence of difficulties in recruiting and retaining consultants in the particular specialty, or post in question;		
	 set out evidence that they have adequately considered and tried non-pay solutions to such difficulties; 		
	• consult with other NHS employing organisations and other appropriate bodies such as the Strategic Health Authority for the area in question.		
	Other Payments and Allowances		

Schedule no.	Amendment	Date amended	Circular number
	16. A consultant may be entitled to certain other payments and allowances at the discretion of the employing authority.		
	 Directors of Public Health 17. Directors of Public Health will be entitled to supplements in addition to basic salary (in line with the provisions in Schedule 13 or Schedule 14) within the range as specified from time to time in letters advising national rates of pay. These supplements will depend on the band within which their post falls and the weight of the post as assessed by their employing organisation. Band A applies to regional posts, irrespective of population. The definition of the relevant bands is set out below: 		
	Band A: Director of Public Health – Regional Posts Band B: Director of Public Health – population over 450,000 Band C: Director of Public Health – population 250,000 - 449,999 Band D: Director of Public Health – population 50,000 - 249,999		
	18. Supplements shall be an element of remuneration and shall be superannuable.		
	19. Population shall be reviewed annually at 1 April. The relevant population for this purpose shall be the Registrar General's estimate of the home population for the employing organisation at the previous 30 June.		
	20. If the home population for the employing organisation increases to a higher population band for one year only, this shall have no effect on the minimum supplement. If the rise to a higher population band is confirmed by the next y completed ear's estimate, a review of the supplement payable should be within six months. Payment of any increased supplement following such a review shall be made with retrospective effect from 1 April of the previous year.		
	21. If the home population for the employing organisation falls to a lower population band		

	Schedule no.	Amendment	Date amended	Circular number
		for one year only, this shall have no effect on the minimum supplement. If the fall in population is confirmed by the next year's estimate, a review of the supplement payable should be completed within six months. Where this would result in a reduction in the value of the supplement, an officer shall retain the cash value of his or her existing supplement for so long as that remains more favourable.		
33	Schedule 23	Replace Schedule 16		PC(M&D) 3/2007
		 Shall apply, except that: all payable supplements, including (where appropriate) London weighting and Recruitment or Retention Premia, will be paid by the substantive employer. Any Recruitment and Retention Premia will be jointly agreed on appointment or as part of the integrated Job Planning process. Paragraphs 8 to 14 shall not apply. 		
		With		
		 Schedule 16 Shall apply, except that: all payable supplements, including (where appropriate) London weighting and Recruitment or Retention Premia, will be paid by the substantive employer. Any Recruitment and Retention Premia will be jointly agreed on appointment or as part of the integrated Job Planning process. Paragraphs 9 to 15 shall not apply. 		
34	Schedule 13	Replace	30 July 2007	PC(M&D) 5/2007

Schedule no.	Amendment					Date amended	Circular number
	Annex A ¹² Pay progres	sion for consultants appointed	before 31 Oc	tober 2003			
	Seniority at transfer	Years after transfer before threshold level changes	Pay threshold	Basic salary (2005/06 rates)^	Pay scale		
	30+	On transfer to new contract 1 year after transfer 2 years after transfer	7 8	£83,320 £88,547 £93,768	MC71		
	21-29	On transfer to new contract 1 year after transfer 2 years after transfer	6 7 8	£78,094 £83,320 £88,547 £93,768	MC70		
	20	3 years after transferOn transfer to new contract1 year after transfer3 years after transfer4 years after transfer	6 7 8	£93,768 £78,094 £83,320 £88,547 £93,768	MC69		
	19	On transfer to new contract 1 year after transfer 3 years after transfer 5 years after transfer	6 7 8	£78,094 £83,320 £88,547 £93,768	MC68		
	18	On transfer to new contract 2 years after transfer 3 years after transfer 5 years after transfer	6 7 8	£78,094 £83,320 £88,547 £93,768	MC67		
	17	On transfer to new contract 2 years after transfer	6	£78,094 £83,320	MC66		

Schedule no.	Amendment					Date amended	Circular number
		4 years after transfer	7	£88,547			
		6 years after transfer	8	£93,768			
	16	On transfer to new contract		£78,094			
		3 years after transfer	6	£83,320	MC65		
		4 years after transfer	7	£88,547			
		7 years after transfer	8	£93,768			
	15	On transfer to new contract		£78,094			
		3 years on transfer	6	£83,320	MC64		
		4 years on transfer	7	£88,547			
		8 years on transfer	8	£93,768			
	14	On transfer to new contract		£78,094			
		3 years after transfer	6	£83,320	MC63		
		5 years after transfer	7	£88,547			
		9 years after transfer	8	£93,768			
	13	On transfer to new contract		£78,094			
		3 years after transfer	6	£83,320	MC62		
		5 years after transfer	7	£88,547			
		10 years after transfer	8	£93,768			
	12	On transfer to new contract		£78,094			
		3 years after transfer	6	£83,320	MC61		
		6 years after transfer	7	£88,547			
		11 years after transfer	8	£93,768			
	11	On transfer to new contract		£78,094			
		4 years after transfer	6	£83,320	MC60		
		7 years after transfer	7	£88,547			
		12 years after transfer	8	£93,768			

Schedule no.	Amendment					Date amended	Circular number
	10	On transfer to new contract		£78,094			
		4 years after transfer	6	£83,320	MC59		
		8 years after transfer	7	£88,547			
		13 years after transfer	8	£93,768			
	9	On transfer to new contract		£78,094			
		4 years after transfer	6	£83,320	MC58		
		9 years after transfer	7	£88,547			
		14 years after transfer	8	£93,768			
	8	On transfer to new contract		£78,094			
		5 years after transfer	6	£83,320	MC57		
		10 years after transfer	7	£88,547			
		15 years after transfer	8	£93,768			
	7	On transfer to new contract		£78,094			
		5 years after transfer	6	£83,320	MC57		
		10 years after transfer	7	£88,547			
		15 years after transfer	8	£93,768			
	6	On transfer to new contract		£76,996			
		1 year after transfer	5	£78,094	MC56		
		5 years after transfer	6	£83,320			
		10 years after transfer	7	£88,547			
		15 years after transfer	8	£93,768			
	5	On transfer to new contract		£75,899			
		1 year after transfer	*	£76,996	MC55		
		2 years after transfer	5	£78,094			
		6 years after transfer	6	£83,320			
		11 years after transfer	7	£88,547			
		16 years after transfer	8	£93,768			

Schedule no.	Amendment					Date amended	Circular number
	4	On transfer to new contract		£70,949			
		1 year after transfer	3	£73,699	MC54		
		2 years after transfer	4	£75,899			
		3 years after transfer	5	£78,094			
		6 years after transfer	6	£83,320			
		11 years after transfer	7	£88,547			
		16 years after transfer	8	£93,768			
	3	On transfer to new contract		£70,395			
		1 year after transfer	*	£72,596	MC53		
		2 years after transfer	4	£75,899			
		3 years after transfer	5	£78,094			
		7 years after transfer	6	£83,320			
		12 years after transfer	7	£88,547			
		17 years after transfer	8	£93,768			
	2	On transfer to new contract		£69,847			
		1 year after transfer	2	£71,498	MC52		
		2 years after transfer	4	£75,899			
		3 years after transfer	5	£78,094			
		8 years after transfer	6	£83,320			
		13 years after transfer	7	£88,547			
		18 years after transfer	8	£93,768			
	1	On transfer to new contract		£69,298			
		1 year after transfer	*	£70,395	MC51		
		2 years after transfer	3	£73,699			
		3 years after transfer	4	£75,899			
		4 years after transfer	5	£78,094			
		9 years after transfer	6	£83,320			

no.	Amendment					Date amended	Circular number
		14 years after transfer	7	£88,547			
		19 years after transfer	8	£93,768			
	transitional p	tes on salary values are published			·		
	Annex A ¹²		h - f 21 O-	4 - 1 2002 (- FF-	-4° 6 1		
		sion for consultants appointed Years after transfer before threshold level changes	before 31 Oc Pay threshold	tober 2003 (effe Basic salary (2007/08 rates)^	ective from 1		
	Pay progress April 2007) Seniority at	Years after transfer before	Рау	Basic salary (2007/08			
	Pay progress April 2007) Seniority at transfer	Years after transfer before threshold level changes	Рау	Basic salary (2007/08 rates)^			
	Pay progress April 2007) Seniority at transfer	Years after transfer before threshold level changes On transfer to new contract	Pay threshold	Basic salary (2007/08 rates)^ £86,153	Pay scale		
	Pay progress April 2007) Seniority at transfer	Years after transfer before threshold level changes On transfer to new contract 1 year after transfer	Pay threshold 7	Basic salary (2007/08 rates)^ £86,153 £91,495	Pay scale		
	Pay progress April 2007) Seniority at transfer 30+	Years after transfer before threshold level changes On transfer to new contract 1 year after transfer 2 years after transfer	Pay threshold 7	Basic salary (2007/08 rates)^ £86,153 £91,495 £96,831	Pay scale		
	Pay progress April 2007) Seniority at transfer 30+	Years after transfer before threshold level changesOn transfer to new contract 1 year after transfer 2 years after transferOn transfer to new contract	Pay threshold 7 8	Basic salary (2007/08 rates)^ £86,153 £91,495 £96,831 £80,812	Pay scale MC71		
	Pay progress April 2007) Seniority at transfer 30+	Years after transfer before threshold level changesOn transfer to new contract 1 year after transfer 2 years after transferOn transfer to new contract 1 year after transfer	Pay threshold 7 8 6	Basic salary (2007/08 rates)^ £86,153 £91,495 £96,831 £80,812 £80,812 £86,153	Pay scale MC71		

Record of amendments log – Volume One – July 2007 69 -

Schedule no.	Amendment					Date amended	Circular number
		1 year after transfer	6	£86,153	MC69		
		3 years after transfer	7	£91,495			
		4 years after transfer	8	£96,831			
	19	On transfer to new contract		£80,812			
		1 year after transfer	6	£86,153	MC68		
		3 years after transfer	7	£91,495			
		5 years after transfer	8	£96,831			
	18	On transfer to new contract		£80,812			
		2 years after transfer	6	£86,153	MC67		
		3 years after transfer	7	£91,495			
		5 years after transfer	8	£96,831			
	17	On transfer to new contract		£80,812			
		2 years after transfer	6	£86,153	MC66		
		4 years after transfer	7	£91,495			
		6 years after transfer	8	£96,831			
	16	On transfer to new contract		£80,812			
		3 years after transfer	6	£86,153	MC65		
		4 years after transfer	7	£91,495			
		7 years after transfer	8	£96,831			
	15	On transfer to new contract		£80,812			
		3 years on transfer	6	£86,153	MC64		
		4 years on transfer	7	£91,495			
		8 years on transfer	8	£96,831			
	14	On transfer to new contract		£80,812			
		3 years after transfer	6	£86,153	MC63		
		5 years after transfer	7	£91,495			
		9 years after transfer	8	£96,831			

Schedule no.	Amendment					Date amended	Circular number
	13	On transfer to new contract		£80,812			
		3 years after transfer	6	£86,153	MC62		
		5 years after transfer	7	£91,495			
		10 years after transfer	8	£96,831			
	12	On transfer to new contract		£80,812			
		3 years after transfer	6	£86,153	MC61		
		6 years after transfer	7	£91,495			
		11 years after transfer	8	£96,831			
	11	On transfer to new contract		£80,812			
		4 years after transfer	6	£86,153	MC60		
		7 years after transfer	7	£91,495			
		12 years after transfer	8	£96,831			
	10	On transfer to new contract		£80,812			
		4 years after transfer	6	£86,153	MC59		
		8 years after transfer	7	£91,495			
		13 years after transfer	8	£96,831			
	9	On transfer to new contract		£80,812			
		4 years after transfer	6	£86,153	MC58		
		9 years after transfer	7	£91,495			
		14 years after transfer	8	£96,831			
	8	On transfer to new contract		£80,812			
		5 years after transfer	6	£86,153	MC57		
		10 years after transfer	7	£91,495			
		15 years after transfer	8	£96, 831			
	7	On transfer to new contract		£80,812			
		5 years after transfer	6	£86,153	MC57		
		10 years after transfer	7	£91,495			

Schedule no.	Amendment					Date amended	Circular number
		15 years after transfer	8	£96,831			
	6	On transfer to new contract		£79,690			
		1 year after transfer	5	£80,812	MC56		
		5 years after transfer	6	£86,153			
		10 years after transfer	7	£91,495			
		15 years after transfer	8	£96,831			
	5	On transfer to new contract		£78,569			
		1 year after transfer	*	£79,690	MC55		
		2 years after transfer	5	£80,812			
		6 years after transfer	6	£86,153			
		11 years after transfer	7	£91,495			
		16 years after transfer	8	£96,831			
	4	On transfer to new contract		£73,510			
		1 year after transfer	3	£76,320	MC54		
		2 years after transfer	4	£78,569			
		3 years after transfer	5	£80,812			
		6 years after transfer	6	£86,153			
		11 years after transfer	7	£91,495			
		16 years after transfer	8	£96,831			
	3	On transfer to new contract		£72,944			
		1 year after transfer	*	£75,193	MC53		
		2 years after transfer	4	£78,569			
		3 years after transfer	5	£80,812			
		7 years after transfer	6	£86,153			
		12 years after transfer	7	£91,495			

Record of amendments log – Volume One – July 2007 72 -

	Schedule no.	Amendment					Date amended	Circular number
			17 years after transfer	8	£96,831			
		2	On transfer to new contract		£72,383			
			1 year after transfer	2	£74,071	MC52		
			2 years after transfer	4	£78,569			
			3 years after transfer	5	£80,812			
			8 years after transfer	6	£86,153			
			13 years after transfer	7	£91,495			
			18 years after transfer	8	£96,831			
		1	On transfer to new contract		£71,822			
			1 year after transfer	*	£72,944	MC51		
			2 years after transfer	3	£76,320			
			3 years after transfer	4	£78,569			
			4 years after transfer	5	£80,812			
			9 years after transfer	6	£86,153			
			14 years after transfer	7	£91,495			
			19 years after transfer	8	£96,831			
		transitional pu	es on salary values are published			-		
35	Schedule	Replace					30 July	PC(M&D)

Schedule no.	Amendment					Date amended	Circula number
14	Annex B ¹⁶					2007	5/2007
	Pay progressio	on for consultants	appointed on or	after 31 October 2003			
	Threshold	Years completed as a consultant	Basic salary 2005/6^	Period before eligibility for next threshold	Payroll point		
	1	0	£69,298	1 year	MC72 Point 00		
	2	1	£71,498	1 year	MC72 Point 01		
	3	2	£73,699	1 year	MC72 Point 02		
	4	3	£75,899	1 year	MC72 Point 03		
	5	4	£78,094	5 years	MC72 Point 04		
		5	£78,094	4 years	MC72 Point 05		
		6	£78,094	3 years	MC72 Point 06		
		7	£78,094	2 years	MC72 Point 07		
		8	£78,094	1 year	MC72 Point 08		

Schedule no.	Amendment					Date amended	Circular number
	6	9	£83,320	5 years	MC72 Point 09		
		10	£83,320	4 years	MC72 Point 10		
		11	£83,320	3 years	MC72 Point 11		
		12	£83,320	2 years	MC72 Point 12		
		13	£83,320	1 year	MC72 Point 13		
	7	14	£88,547	5 years	MC72 Point 14		
		15	£88,547	4 years	MC72 Point 15		
		16	£88,547	3 years	MC72 Point 16		
		17	£88,547	2 years	MC72 Point 17		
		18	£88,547	1 year	MC72 Point 18		
	8	19	£93,768	-	MC72 Point 19		
	^annual updates on sa	lary values are pub	lished on the NHS Employ	vers website www.nhsen	nployers.org/		
	With						
	Annex B ¹⁶						

Record of amendments log – Volume One – July 2007 75 -

Schedule no.	Amendment					Date amended	Circular number
	Pay progressio 2007)	on for consultants	appointed on or	after 31 October 2003 ((effective from 1 April		
	Threshold	Years completed as a consultant	Basic salary 2007/8^	Period before eligibility for next threshold	Payroll point		
	1	0	£71,822	1 year	MC72 Point 00		
	2	1	£74,071	1 year	MC72 Point 01		
	3	2	£76,320	1 year	MC72 Point 02		
	4	3	£78,569	1 year	MC72 Point 03		
	5	4	£80,812	5 years	MC72 Point 04		
		5	£80,812	4 years	MC72 Point 05		
		6	£80,812	3 years	MC72 Point 06		
		7	£80,812	2 years	MC72 Point 07		
		8	£80,812	1 year	MC72 Point 08		
	6	9	£86,153	5 years	MC72 Point 09		

	Schedule no.	Amendment					Date amended	Circular number
			10	£86,153	4 years	MC72 Point 10		
			11	£86,153	3 years	MC72 Point 11		
			12	£86,153	2 years	MC72 Point 12		
			13	£86,153	1 year	MC72 Point 13		
		7	14	£91,495	5 years	MC72 Point 14		
			15	£91,495	4 years	MC72 Point 15		
			16	£91,495	3 years	MC72 Point 16		
			17	£91,495	2 years	MC72 Point 17		
			18	£91,495	1 year	MC72 Point 18		
		8	19	£96,831	-	MC72 Point 19		
		^annual updates on sa	lary values are pub	lished on the NHS Employ	yers website www.nhsen	nployers.org/		
36	Schedule 20	Replace					30 July 2007	PC(M&D) 5/2007
		Schedule 20 Inc	corporated G	eneral Council Co	nditions of Servio	ce		
		This Schedule lis	sts those Gen	eral Whitley Counci	l (or successor boo	dy) agreements which		

Schedule	Amendme	nt	Date amended	Circular number
no.	apply unde	r the contract except where otherwise indicated in these Terms and Conditions.	amenueu	number
	GWC Section	Subject		
	S1.	Annual Leave Entitlement		
	S2.	Statutory and Public Holidays		
	S7.	Equal Opportunities		
	S8	Harassment at Work		
	S9	Child Care		
	S11	Job Sharing		
	S22.	Subsistence Allowances		
	S23.	Travelling Expenses		
	S26.	Removal Expenses and Associated Provisions		
	S38.	Facilities for Staff Organisations		
	S39.	Joint Consultation Machinery		
	S41.	Health Awareness for NHS staff		
	S46.	Payment of Superannuation and Compensation Benefits on Premature	Э	

Record of amendments log – Volume One – July 2007 78 -

Schedule no.	Amendment		Date amended	Circular number
 110.		Retirement	amended	number
	S48.	Protection of Pay and Conditions of Service		
	S52.	Position of Employees Elected to Parliament		
	S53.	Membership of Local Authorities		
	S54.	Payment of Annual Salaries		
	S55.	Preparation for Retirement		
	S56.	London Weighting (only paragraphs 4, 10 to 14 and 18 to 20 thereof apply)		
	S57.	Statutory Sick Pay: Qualifying Days		
	S59.	NHS Trusts - Continuity of Service		
	S61.	Annual Leave and Sick Pay Entitlements on Re-entry and Entry into NHS Employment		
	NB – Please Schedule 24. ¹	note that Maternity Leave and Pay arrangements are now covered in temporary		
	http://www.dh	tion on the new doctor's and dentist's disciplinary framework can be found at: ¹⁹ gov.uk/PublicationsAndStatistics/Publications/PublicationsPolicyAndGuidance/ AmpGBrowsableDocument/fs/en?CONTENT_ID=4103418&chk=UVbdwG		
	NB – Please r	note that Redundancy Pay arrangements are now covered in Temporary		

Record of amendments log – Volume One – July 2007 79 -

Schedule	Amendment		Date amended	Circular number
no.	Schedule 26.	29	amendeu	number
	With			
	Schedule 20	Incorporated general council conditions of service		
	This Schedul apply under t			
	GWC Section	Subject		
	S1.	Annual leave entitlement		
	S2.	Statutory and public holidays		
	S7.A	General Statement		
	S7.B	Recruitment, promotion and staff development		
	S7.C	Dignity at work		
	S22.	Subsistence allowances		
	S23.	Travelling expenses		
	S26.	Removal expenses and associated provisions		
	S38.	Facilities for staff organisations		

Record of amendments log – Volume One – July 2007 80 -

Schedule no.	Amendment		Date amended	Circular number
	S39.	Joint consultation machinery		
	S41.	Health awareness for NHS staff		
	S46.	Payment of superannuation and compensation benefits on premature retirement		
	S48.	Protection of pay and conditions of service		
	S52.	Position of employees elected to parliament		
	S53.	Membership of local authorities		
	S54.	Payment of annual salaries		
	S55.	Preparation for retirement		
	S56.	London weighting (only paragraphs 4, 10 to 14 and 18 to 20 thereof apply)		
	S57.	Statutory sick pay: qualifying days		
	S59.	NHS Trusts - continuity of service		
	S61.	Annual leave and sick pay entitlements on re-entry and entry into NHS employment		
	NB – Please Schedule 24.	note that Maternity Leave and Pay arrangements are now covered in temporary		

Record of amendments log – Volume One – July 2007 81 -

Sch	ule Amendment	Date	Circular
no.		amended	number
	NB – Information on the new doctor's and dentist's disciplinary framework can be found at: ¹⁹ http://www.dh.gov.uk/PublicationsAndStatistics/Publications/PublicationsPolicyAndGuidance/ PublicationsPAmpGBrowsableDocument/fs/en?CONTENT_ID=4103418&chk=UVbdwG NB – Please note that Redundancy Pay arrangements are now covered in Temporary Schedule 26. ²⁹ NB – Please note that Caring for Children and Adults is now covered by Temporary Schedule 27. NB – Please note that Flexible Working Arrangements is now covered by Temporary Schedule 28. NB – Please note that Balancing Work and Personal Life is now covered by Temporary Schedule 29.	amenueu	number

	Schedule	Amendment			Date	Circular number
37	no. Schedule 23	Add			amended 30 July 2007	PC(M&D) 5/2007
		Schedule 27	Caring for Children and Adults (Temporary Schedule)	Shall not apply ³⁰		
		Schedule 28	Flexible working arrangements (Temporary Schedule)	Shall not apply		
		Schedule 29	Balancing Work and Personal Life (Temporary Schedule)	Shall not apply		
38	Schedule 24	Introdu		e)	30 July 2007	PC(M&D) 5/2007
		employees und 2 Paragra	der the NHS contractual maternit			
		continu		ce that can be counted towards the twelve month in paragraph 6 (i) below and which breaks in service		
		4 Paragra entitlen		get further information about employees' statutory		

Schedule no.	Amendment	Date amended	Circular number
	5 These arrangements shall apply in respect of all pregnant employees whose expected week of childbirth begins on or after 5 December 2004. Where locally staff and employer representatives agree arrangements which provide benefits to staff, beyond those provided by this schedule, those local arrangements will apply.		
	 Eligibility An employee working full-time or part-time will be entitled to paid and unpaid maternity leave under the NHS contractual maternity pay scheme if: 		
	- (i) she has twelve months continuous service (see paragraphs 48 to 52) with one or more NHS employers at the beginning of the eleventh week before the expected week of childbirth;		
	- (ii) she notifies her employer in writing before the end of the 15 th week before the expected date of childbirth (or if this is not possible, as soon as is reasonably practicable thereafter):		
	(a) of her intention to take maternity leave;		
	(b) of the date she wishes to start her maternity leave (but see paragraph 7 below);		
	(c) that she intends to return to work with the same or another NHS employer for a minimum period of three months after her maternity leave has ended;		
	(d) and provides a MATB1 form from her midwife or GP giving the expected date of childbirth.		
	 Changing the Maternity Leave Start Date If the employee subsequently wants to change the date from which she wishes her leave to start she should notify her employer at least 28 days beforehand (or, if this is not possible, as 		

Record of amendments log – Volume One – July 2007 84 -

Schedule no.	Amendment	Date amended	Circular number
no.	 soon as is reasonably practicable beforehand). Confirming Maternity Leave and Pay Following discussion with the employee, the employer should confirm in writing: (i) the employee's paid and unpaid leave entitlements under this agreement (or statutory entitlements if the employee does not qualify under this agreement); (ii) unless an earlier return date has been given by the employee, her expected return date based on her 52 weeks paid and unpaid leave entitlement under this agreement; and (iii) the length of any period of accrued annual leave which it has been agreed may be taken following the end of the formal maternity leave period (see paragraphs 38 and 39 below); (iv) the need for the employee to give at least 28 days notice if she wishes to return to work before the expected return date. Keeping in Touch Before going on leave, the employer and the employee should also discuss and agree any voluntary arrangements that the employee's maternity leave including: (i) any voluntary arrangements that the employee may find helpful to help her keep in touch with developments at work and, nearer the time of her return, to help facilitate her return to work; (ii) keeping the employer in touch with any developments that may affect her intended date of return. 	amended	number
	Paid Maternity Leave		

Schedule no.	Amendment	Date amended	Circular number
	Amount of Pay10Where an employee intends to return to work the amount of contractual maternitypayreceivable is as follows:		
	 (i) for the first eight weeks of absence, the employee will receive full pay, less any Statutory Maternity Pay or Maternity Allowance (including any dependents' allowances) receivable; 		
	 (ii) for the next 18 weeks, the employee will receive half of full pay plus any Statutory Maternity Pay or Maternity Allowance (including any dependents' allowances) receivable, providing the total receivable does not exceed full pay. 		
	11 By prior agreement with the employer occupational maternity pay may be paid in a different way, for example a combination of full pay and half pay or a fixed amount spread equally over the maternity leave period.		
	 Calculation of Maternity Pay Full pay will be calculated using the average weekly earnings rules used for calculating Statutory Maternity Pay entitlements, subject to the following qualifications: 		
	 (i) in the event of a pay award or annual increment being implemented <u>before</u> the paid maternity leave period begins, the maternity pay should be calculated as though the pay award or annual increment had effect throughout the entire Statutory Maternity Pay calculation period. If such a pay award was agreed retrospectively, the maternity pay should be re-calculated on the same basis; 		
	- (ii) in the event of a pay award or annual increment being implemented <u>during</u> the paid maternity leave period, the maternity pay due from the date of the pay award or annual increment should be increased accordingly. If such a pay award was agreed retrospectively, the maternity pay should be re-calculated on the same basis;		
	- (iii) in the case of an employee on unpaid sick absence or on sick absence attracting half pay during the whole or part of the period used for calculating average		

Schedule no.	ule Amendment		Date amended	Circular number
		weekly earnings in accordance with the earnings rules for Statutory Maternity Pay purposes, average weekly earnings for the period of sick absence shall be calculated on the basis of notional full sick pay.		
	13	Unpaid Contractual Maternity Leave Employees will also be entitled to 26 weeks' unpaid leave.		
	14	Commencement and Duration of Leave An employee may begin her maternity leave at any time between eleven weeks before the expected week of childbirth and the expected week of childbirth provided she gives the required notice.		
	15	Sickness Prior to Childbirth If an employee is off work ill, or becomes ill, with a pregnancy related illness during the last four weeks before the expected week of childbirth, maternity leave will normally commence at the beginning of the fourth week before the expected week of childbirth or the beginning of the next week after the employee last worked, whichever is the later. Absence prior to the last four weeks before the expected week of childbirth, supported by a medical statement of incapacity for work, or a self-certificate, shall be treated as sick leave in accordance with normal leave provisions.		
	16	Odd days of pregnancy related illness during this period may be disregarded if the employee wishes to continue working till the maternity leave start date previously notified to the employer.		
	17	Premature Birth Where an employee's baby is born alive prematurely the employee will be entitled to the same amount of maternity leave and pay as if her baby was born at full term.		
	18	Where an employee's baby is born before the eleventh week before the expected week of childbirth and the employee has worked during the actual week of childbirth, maternity leave will start on the first day of the employee's absence.		

Schedule no.	Amendm	Amendment D		Circular number
	19	Where an employee's baby is born before the eleventh week before the expected week of childbirth and the employee has been absent from work on certified sickness absence during the actual week of childbirth, maternity leave will start the day after the day of birth.	amended	number
	20	Where an employee's baby is born before the eleventh week before the expected week of childbirth and the baby is in hospital the employee may spilt her maternity leave entitlement, taking a minimum period of two weeks' leave immediately after childbirth and the rest of her leave following her baby's discharge from hospital.		
	21	Still Birth Where an employee's baby is born dead after the 24 th week of pregnancy the employee will be entitled to the same amount of maternity leave and pay as if her baby was born alive.		
	22	Miscarriage Where an employee has a miscarriage before the 25 th week of pregnancy normal sick leave provisions will apply as necessary.		
	23	Health and Safety of Employees Pre and Post Birth Where an employee is pregnant, has recently given birth or is breastfeeding, the employer should carry out a risk assessment of her working conditions. If it is found, or a medical practitioner considers, that an employee or her child would be at risk were she to continue with her normal duties the employer should provide suitable alternative work for which the employee will receive her normal rate of pay. Where it is not reasonably practicable to offer suitable alternative work the employee should be suspended on full pay.		
	24	These provisions also apply to an employee who is breastfeeding if it is found that her normal duties would prevent her from successfully breastfeeding her child.		
	25	Return to Work An employee who intends to return to work at the end of her full maternity leave will not be required to give any further notification to the employer, although if she wishes to return early she must give at least 28 days' notice.		

Schedule no.			Date amended	Circular number
	26	An employee has the right to return to her job under her original contract and on no less favourable terms and conditions.		
	27	Returning on Flexible Working Arrangements If at the end of maternity leave the employee wishes to return to work on different hours the NHS employer has a duty to facilitate this wherever possible, with the employee returning to work on different hours in the same job. If this is not possible the employer must provide written, objectively justifiable reasons for this and the employee should return to the same grade and work of a similar nature and status to that which they held prior to their maternity absence.		
	28	If it is agreed that the employee will return to work on a flexible basis, including changed or reduced hours, for an agreed temporary period this will not affect the employee's right to return to her job under her original contract at the end of the agreed period.		
	29	Sickness Following the End of Maternity Leave In the event of illness following the date the employee was due to return to work normal sick leave provisions will apply as necessary.		
	30	Failure to Return to Work If an employee who has notified her employer of her intention to return to work for the same or a different NHS employer in accordance with paragraph 6 (ii) (c) above fails to do so within 15 months of the beginning of her maternity leave she will be liable to refund the whole of her maternity pay, less any Statutory Maternity Pay, received. In cases where the employer considers that to enforce this provision would cause undue hardship or distress the employer will have the discretion to waive their rights to recovery.		
		Fixed – Term Contracts or Training Contracts		
	31	Employees subject to fixed-term or training contracts which expire after the eleventh week before the expected week of childbirth and who satisfy the conditions in paragraphs 6 (i), 6		

Schedule no.			Date amended	Circular number
		(ii) (a), 6 (ii) (b) and 6 (ii) (d) shall have their contracts extended so as to allow them to receive the 26 weeks paid contractual maternity leave set out in paragraph 10 above.		
	32	Absence on maternity leave (paid and unpaid) up to 52 weeks before a further NHS appointment shall not constitute a break in service.		
	33	If there is no right of return to be exercised because the contract would have ended if pregnancy and childbirth had not occurred the repayment provisions set out in paragraph 30 above will not apply.		
	34	Employees on fixed-term contracts who do not meet the twelve months continuous service condition set out in paragraph 6 (i) above may still be entitled to Statutory Maternity Pay.		
	35	Rotational Training Contracts Where an employee is on a planned rotation of appointments with one or more NHS employers as part of an agreed programme of training, she shall have the right to return to work in the same post or in the next planned post irrespective of whether the contract would otherwise have ended if pregnancy and childbirth had not occurred. In such circumstances the employee's contract will be extended to enable the practitioner to complete the agreed programme of training.		
	36	Contractual rights During maternity leave (both paid and unpaid) an employee retains all of her contractual rights except remuneration.		
	37	Increments Maternity leave, whether paid or unpaid, shall count as service for annual increments and for the purposes of any service qualification period for additional annual leave.		
	38	Accrual of Annual Leave Annual leave will continue to accrue during maternity leave, whether paid or unpaid, provided for by this agreement.		

Schedule no.	Amendm	Amendment Da am		Circular number
	39	Where the amount of accrued annual leave would exceed normal carry over provisions, it may be mutually beneficial to both the employer and employee for the employee to take annual leave before and/or after the formal (paid and unpaid) maternity leave period. The amount of annual leave to be taken in this way, or carried over, should be discussed and agreed between the employee and employer.		
	40	Pensions Pension rights and contributions shall be dealt with in accordance with the provisions of the NHS Superannuation Regulations.		
	41	Antenatal Care Pregnant employees have the right to paid time off for antenatal care. Antenatal care may include relaxation and parent-craft classes as well as appointments for antenatal care.		
	42	Post-natal Care and Breastfeeding Mothers Women who have recently given birth should have paid time off for post-natal care e.g. attendance at health clinics.		
	43	Employers are required to provide breast-feeding women with suitable rest facilities. The Health and Safety Executive also encourages employers to provide a healthy and safe environment for women who are breast-feeding with suitable access to a private room to express and store milk.		
	44	Employees Not Returning to NHS Employment An employee who satisfies the conditions in paragraph 6, except that she does not intend to work with the same or another NHS employer for a minimum period of three months after her maternity leave is ended, will be entitled to pay equivalent to Statutory Maternity Pay, which is paid at 90% of her average weekly earnings for the first six weeks of her maternity leave and to a flat rate sum for the following 20 weeks.		
	45	Employees With Less Than Twelve Months Continuous Service If an employee does not satisfy the conditions in paragraph 6 for occupational maternity pay she may be entitled to Statutory Maternity Pay. Statutory Maternity Pay will be paid		

Schedule no.	Amendm	nent	Date amended	Circular number
		regardless of whether she satisfies the conditions in paragraph 6. If her earnings are too low for her to qualify for Statutory Maternity Pay, or she does not qualify for another reason, she should be advised to claim Maternity Allowance from her local Job Centre Plus or social security office.		
	46	Employees who fall into the category set out in paragraph 45 but intend to return to NHS employment will also be entitled to a further period of 26 weeks' unpaid maternity leave.		
	47	Paragraphs 53 to 58 contain further information on statutory maternity entitlements.		
	48	Continuous Service For the purposes of calculating whether the employee meets the twelve months continuous service with one or more NHS employers qualification set out in paragraph 6 (i) the following provisions shall apply:		
		 (i) NHS employers includes health authorities, NHS Boards, NHS Trusts, Primary Care Trusts and the Northern Ireland Health Service; (ii) a break in service of three months or less will be disregarded (though not count as service). 		
	49	The following breaks in service will also be disregarded (though not count as service);		
		- (i) employment under the terms of an honorary contract;		
		 (ii) employment as a locum with a general practitioner for a period not exceeding twelve months; 		
		 (iii) a period of up to twelve months spent abroad as part of a definite programme of postgraduate training on the advice of the Postgraduate Dean or College or Faculty Advisor in the speciality concerned; 		
		 (iv) a period of voluntary service overseas with a recognised international relief organisation for a period of twelve months which may exceptionally be extended for 		

Schedule no.	Amendment	Date amended	Circular number
	twelve months at the discretion of the employer which recruits the employee on her return;		
	 (v) absence on a employment break in accordance with the provisions of Schedule 25; 		
	 (vi) absence on maternity leave (paid or unpaid) as provided for under this agreement. 		
	50 Employers may at their discretion extend the period specified in paragraphs 48 (ii) and 49.		
	51 Employment as a trainee with a General Medical Practitioner in accordance with the provisions of the Trainee Practitioner Scheme shall similarly be disregarded and count as service.		
	52 Employers have the discretion to count other previous NHS service or service with other employers.		
	 Information About Maternity Rights and Statutory Maternity Pay Information about all maternity rights is contained in the following Department of Trade and Industry (DTI) booklet:- 		
	Maternity Rights: a guide for employers and employees (URN 99/1191).		
	54 Copies of this booklet can be obtained by telephoning 0870 – 1502 500. It is also available from the DTI website at:- http://www.dti.gov.uk/er/individual/maternity.pdf		
	55 Information on Statutory Maternity Pay and Maternity Allowance entitlements is contained in the following Department for Work and Pensions (DWP) booklet:-		
	- (i) A Guide To Maternity Benefits (NI 17A)		

Schedule no.	Ameno	Amendment Da am		Circular number
	56	Copies of this booklet can be obtained from local benefits offices.		
	57	Further information on Statutory Maternity Pay and Maternity Allowance entitlements is also available on the DWP website at:-		
		http://www.dwp.gov.uk/lifeevent/famchild/ind		
	58	Further information for new and expectant mothers at work is available on the Health and Safety Executive website at:-		
		www.hse.gov.uk		
	With			
	Matern	ity leave and pay (temporary schedule)		
		Introduction		
	1.	All employees will have the right to take 52 weeks of maternity leave		
	2.	Paragraphs 7 to 54 of this Schedule set out the maternity leave and pay entitlements of NHS employees under the NHS contractual maternity leave scheme.		
	3.	Paragraphs 55 to 59 give information about the position of staff who are not covered by this scheme because they do not have the necessary service or do not intend to return to NHS employment.		
	4.	Paragraphs 60 to 64 define the service that can be counted towards the twelve month continuous service qualification set out in paragraph 7 (i) below and which breaks in service may be disregarded for this purpose.		

Schedule no.	Amendment	Date amended	Circular number
	5. Paragraph 65 explains how to get further information about employees' statutory entitlements.		
	6. Where locally staff and employer representatives agree arrangements which provide benefits to staff, beyond those provided by this section, those local arrangements will apply.		
	Eligibility		
	7. An employee working full-time or part-time will be entitled to paid and unpaid maternity leave under the NHS contractual maternity pay scheme if:		
	- (i) she has twelve months continuous service (see paragraphs 60 to 64) with one or more NHS employers at the beginning of the eleventh week before the expected week of childbirth;		
	-(ii) she notifies her employer in writing before the end of the 15 th week before the expected date of childbirth (or if this is not possible, as soon as is reasonably practicable thereafter):		
	(a) of her intention to take maternity leave;		
	 (b) of the date she wishes to start her maternity leave – she can choose when to start her maternity leave – this can usually be any date from the beginning of the 11th week before the baby is born (but see paragraph 8 below); 		
	(c) that she intends to return to work with the same or another NHS employer for a minimum period of three months after her maternity leave has ended;		
	(d) and provides a MATB1 form from her midwife or GP giving the expected date of childbirth.		
	Changing the Maternity Leave Start Date		

Schedule no.	Amendment	Date amended	Circular number
	8. If the employee subsequently wants to change the date from which she wishes her leave start she should notify her employer at least 28 days beforehand (or, if this is not possible, a soon as is reasonably practicable beforehand).		
	Confirming Maternity Leave and Pay		
	9. Following discussion with the employee, the employer should confirm in writing:		
	 (i) the employee's paid and unpaid leave entitlements under this agreement (a statutory entitlements if the employee does not qualify under this agreement); 	or	
	 (ii) unless an earlier return date has been given by the employee, her expected return date based on her 52 weeks paid and unpaid leave entitlement under the agreement; and 		
	 (iii) the length of any period of accrued annual leave which it has been agreed ma be taken following the end of the formal maternity leave period (see paragraphs 49 and 5 below); 		
	 (iv) the need for the employee to give at least 28 days notice if she wishes return to work before the expected return date. 	o	
	Keeping in Touch		
	10. Before going on leave, the employer and the employee should also discuss and agree ar voluntary arrangements for keeping in touch during the employee's maternity leave including:	у	
	 (i) any voluntary arrangements that the employee may find helpful to help here in touch with developments at work and, nearer the time of her return, to he facilitate her return to work; 		
	 (ii) keeping the employer in touch with any developments that may affect he intended date of return. 	er	

Record of amendments log – Volume One – July 2007 96 -

Schedule no.	Amendment	Date amended	Circular number
	Work During the Maternity Leave Period		
	Keeping in Touch Days		
	11. To facilitate the process of Keeping in Touch Days (KIT days) it is important that the employer and employee have early discussion to plan and make arrangements for KIT days before the employee's maternity leave takes place.		
	12. To enable employees to take up the opportunity to work KIT days employers should consider the scope for reimbursement of reasonable childcare costs or the provision of childcare facilities.		
	13. KIT days are intended to facilitate a smooth return to work for women returning from maternity leave.		
	14. An employee may work for up to a maximum of 10 KIT days without bringing her maternity leave to an end. Any days of work will not extend the maternity leave period.		
	15.——An employee may not work during the two weeks of compulsory maternity leave immediately after the birth of her baby		
	16. The work can be consecutive or not and can included training or other activities which enable the employee to keep in touch with the workplace.		
	17. Any such work must be by agreement and neither the employer nor the employee can insist upon it.		
	18. The employee will be paid at their basic daily rate, for the hours worked less appropriate maternity leave payment for KIT days worked.		
	19. Working for part of any day will count as one KIT day		

Schedule no.	Amendment	Date amended	Circular number
	20. Any employee who is breastfeeding must be risk assessed and facilities provided in accordance with paragraph 34		
	Paid Maternity Leave		
	Amount of Pay		
	21. Where an employee intends to return to work the amount of contractual maternity pay receivable is as follows:		
	 (i) for the first eight weeks of absence, the employee will receive full pay, less any Statutory Maternity Pay or Maternity Allowance (including any dependants' allowances) receivable; 		
	 (ii) for the next 18 weeks, the employee will receive half of full pay plus any Statutory Maternity Pay or Maternity Allowance (including any dependants' allowances) receivable, providing the total receivable does not exceed full pay. 		
	- (iii) for the next 13 weeks, the employee will receive any Statutory Maternity Pay or Maternity Allowance that they are entitled to under the statutory scheme		
	22. By prior agreement with the employer occupational maternity pay may be paid in a different way, for example a combination of full pay and half pay or a fixed amount spread equally over the maternity leave period.		
	Calculation of Maternity Pay		
	23. Full pay will be calculated using the average weekly earnings rules used for calculating Statutory Maternity Pay entitlements, subject to the following qualifications:		
	 (i) in the event of a pay award or annual increment being implemented <u>before</u> the paid maternity leave period begins, the maternity pay should be calculated as though the 		

Schedule no.	Amendm	ent	Date amended	Circular number
		pay award or annual increment had effect throughout the entire Statutory Maternity Pay calculation period. If such a pay award was agreed retrospectively, the maternity pay should be re-calculated on the same basis;		
	-	(ii) in the event of a pay award or annual increment being implemented <u>during</u> the paid maternity leave period, the maternity pay due from the date of the pay award or annual increment should be increased accordingly. If such a pay award was agreed retrospectively, the maternity pay should be re-calculated on the same basis;		
		- (iii) in the case of an employee on unpaid sick absence or on sick absence attracting half pay during the whole or part of the period used for calculating average weekly earnings in accordance with the earnings rules for Statutory Maternity Pay purposes, average weekly earnings for the period of sick absence shall be calculated on the basis of notional full sick pay.		
		Unpaid Contractual Leave		
	24.	Employees are also entitled to take a further 13 weeks as unpaid leave to bring the total of leave to 52 weeks. However, this may be extended by local agreement in exceptional circumstances for example, where employees have sick pre-term babies or multiple births.		
		Commencement and Duration of Leave		
	25.	An employee may begin her maternity leave at any time between eleven weeks before the expected week of childbirth and the expected week of childbirth provided she gives the required notice.		
		Sickness Prior to Childbirth		
	26.	If an employee is off work ill, or becomes ill, with a pregnancy related illness during the last four weeks before the expected week of childbirth, maternity leave will normally commence at the beginning of the fourth week before the expected week of childbirth or the beginning of the next week after the employee last worked, whichever is the later. Absence prior to		

Schedule no.			Date amended	Circular number
		the last four weeks before the expected week of childbirth, supported by a medical statement of incapacity for work, or a self-certificate, shall be treated as sick leave in accordance with normal leave provisions.		
	27.	Odd days of pregnancy related illness during this period may be disregarded if the employee wishes to continue working till the maternity leave start date previously notified to the employer.		
		Pre-term Birth		
	28.	Where an employee's baby is born alive prematurely the employee will be entitled to the same amount of maternity leave and pay as if her baby was born at full term.		
	29.	Where an employee's baby is born before the eleventh week before the expected week of childbirth and the employee has worked during the actual week of childbirth, maternity leave will start on the first day of the employee's absence.		
	30.	Where an employee's baby is born before the eleventh week before the expected week of childbirth and the employee has been absent from work on certified sickness absence during the actual week of childbirth, maternity leave will start the day after the day of birth.		
	31.	Where an employee's baby is born before the eleventh week before the expected week of childbirth and the baby is in hospital the employee may spilt her maternity leave entitlement, taking a minimum period of two weeks' leave immediately after childbirth and the rest of her leave following her baby's discharge from hospital.		
		Still Birth		
	32.	Where an employee's baby is born dead after the 24 th week of pregnancy the employee will be entitled to the same amount of maternity leave and pay as if her baby was born alive.		
		Miscarriage		

Schedule no.	Amendment		Date amended	Circular number
	33.	Where an employee has a miscarriage before the 25 th week of pregnancy normal sick leave provisions will apply as necessary.		
		Health and Safety of Employees Pre and Post Birth		
	34.	Where an employee is pregnant, has recently given birth or is breastfeeding, the employer must carry out a risk assessment of her working conditions. If it is found, or a medical practitioner considers, that an employee or her child would be at risk were she to continue with her normal duties the employer should provide suitable alternative work for which the employee will receive her normal rate of pay. Where it is not reasonably practicable to offer suitable alternative work the employee should be suspended on full pay.		
	35.	These provisions also apply to an employee who is breastfeeding if it is found that her normal duties would prevent her from successfully breastfeeding her child.		
		Return to Work		
	36.	An employee who intends to return to work at the end of her full maternity leave will not be required to give any further notification to the employer, although if she wishes to return early she must give at least 28 days' notice.		
	37.	An employee has the right to return to her job under her original contract and on no less favourable terms and conditions.		
		Returning on Flexible Working Arrangements		
	38.	If at the end of maternity leave the employee wishes to return to work on different hours the NHS employer has a duty to facilitate this wherever possible, with the employee returning to work on different hours in the same job. If this is not possible the employer must provide written, objectively justifiable reasons for this and the employee should return to the same grade and work of a similar nature and status to that which they held prior to their maternity absence.		

Schedule no.			Date amended	Circular number
	39.	If it is agreed that the employee will return to work on a flexible basis, including changed or reduced hours, for an agreed temporary period this will not affect the employee's right to return to her job under her original contract at the end of the agreed period.		
		Sickness Following the End of Maternity Leave		
	40.	In the event of illness following the date the employee was due to return to work normal sick leave provisions will apply as necessary.		
		Failure to Return to Work		
	41.	If an employee who has notified her employer of her intention to return to work for the same or a different NHS employer in accordance with paragraph 7 (ii) (c) above fails to do so within 15 months of the beginning of her maternity leave she will be liable to refund the whole of her maternity pay, less any Statutory Maternity Pay, received. In cases where the employer considers that to enforce this provision would cause undue hardship or distress the employer will have the discretion to waive their rights to recovery.		
		Miscellaneous Provisions		
		Fixed – Term Contracts or Training Contracts		
	42.	Employees subject to fixed-term or training contracts which expire after the eleventh week before the expected week of childbirth and who satisfy the conditions in paragraphs 7 (i), 7 (ii) (a), 7 (ii) (b) and 7 (ii) (d) shall have their contracts extended so as to allow them to receive the 52 weeks which includes paid contractual and statutory maternity pay and the remaining 13 weeks of unpaid maternity leave.		
	43.	Absence on maternity leave (paid and unpaid) up to 52 weeks before a further NHS appointment shall not constitute a break in service.		
	44.	If there is no right of return to be exercised because the contract would have ended if		

Schedule no.	Amendm	ent	Date amended	Circular number
		pregnancy and childbirth had not occurred the repayment provisions set out in paragraph 41 above will not apply.		
	45.	Employees on fixed-term contracts who do not meet the twelve months continuous service condition set out in paragraph 7 (i) above may still be entitled to Statutory Maternity Pay.		
		Rotational Training Contracts		
	46.	Where an employee is on a planned rotation of appointments with one or more NHS employers as part of an agreed programme of training, she shall have the right to return to work in the same post or in the next planned post irrespective of whether the contract would otherwise have ended if pregnancy and childbirth had not occurred. In such circumstances the employee's contract will be extended to enable the practitioner to complete the agreed programme of training.		
		Contractual rights		
	47.	During maternity leave (both paid and unpaid) an employee retains all of her contractual rights except remuneration.		
		Increments		
	48.	Maternity leave, whether paid or unpaid, shall count as service for annual increments and for the purposes of any service qualification period for additional annual leave.		
		Accrual of Annual Leave		
	49.	Annual leave will continue to accrue during maternity leave, whether paid or unpaid, provided for by this agreement.		
	50.	Where the amount of accrued annual leave would exceed normal carry over provisions, it may be mutually beneficial to both the employer and employee for the employee to take annual leave before and/or after the formal (paid and unpaid) maternity leave period. The		

Schedule no.	Amendm	mendment Dat am		Circular number
		amount of annual leave to be taken in this way, or carried over, should be discussed and agreed between the employee and employer. Payment in lieu may be considered as an option where accrual of annual leave exceeds normal carry over provisions.		
		Pensions		
	51.	Pension rights and contributions shall be dealt with in accordance with the provisions of the NHS Superannuation Regulations.		
		Antenatal Care		
	52.	Pregnant employees have the right to paid time off for antenatal care. Antenatal care includes relaxation and parent-craft classes as well as appointments for antenatal care.		
		Post-natal Care and Breastfeeding Mothers		
	53.	Women who have recently given birth should have paid time off for post-natal care e.g. attendance at health clinics.		
	54.	Employers are required to undertake a risk assessment and to provide breastfeeding women with suitable private rest facilities. The Health and Safety Executive Guidance recommends that employers provide:		
		 a clean, healthy and safe environment for women who are breastfeeding, suitable access to a private room to express and store milk in an appropriate refrigerator. 		
		Employers are reminded that they should consider requests for flexible working arrangements to support breastfeeding women at work		
		Employees Not Returning to NHS Employment		
	55.	An employee who satisfies the conditions in paragraph 7, except that she does not intend		

Schedule no.	Amendment	Date amended	Circular number
	to work with the same or another NHS employer for a minimum period of three months after her maternity leave is ended, will be entitled to pay equivalent to Statutory Maternity Pay, which is paid at 90% of her average weekly earnings for the first six weeks of her maternity leave and to a flat rate sum for the following 33 weeks.	amenueu	number
	Employees With Less Than Twelve Months Continuous Service		
	56. If an employee does not satisfy the conditions in paragraph 7 for occupational maternity pay she may be entitled to Statutory Maternity Pay. Statutory Maternity Pay will be paid regardless of whether she satisfies the conditions in paragraph 7.		
	57. If her earnings are too low for her to qualify for Statutory Maternity Pay, or she does not qualify for another reason, she should be advised to claim Maternity Allowance from her local Job Centre Plus or social security office.		
	58. All employees will have a right to take 52 weeks of maternity leave whether they return to NHS Employment or not.		
	59. Paragraph 65 contains further information on statutory maternity entitlements.		
	Continuous Service		
	60. For the purposes of calculating whether the employee meets the twelve months continuous service with one or more NHS employers qualification set out in paragraph 7 (i) the following provisions shall apply:		
	(i) NHS employers includes health authorities, NHS Boards, NHS Trusts, Primary Care Trusts and the Northern Ireland Health Service;		
	 (ii) a break in service of three months or less will be disregarded (though not count as service). 		
	61. The following breaks in service will also be disregarded (though not count as service);		

Record of amendments log – Volume One – July 2007 105 -

Schedule no.	Amendment	Date amended	Circular number
	- (i) employment under the terms of an honorary contract;		
	 (ii) employment as a locum with a general practitioner for a period not exceeding twelve months; 		
	 (iii) a period of up to twelve months spent abroad as part of a definite programme of postgraduate training on the advice of the Postgraduate Dean or College or Faculty Advisor in the speciality concerned; 		
	 (iv) a period of voluntary service overseas with a recognised international relief organisation for a period of twelve months which may exceptionally be extended for twelve months at the discretion of the employer which recruits the employee on her return; 		
	 (v) absence on a employment break scheme in accordance with the provisions of Schedule 25; 		
	- (vi) absence on maternity leave (paid or unpaid) as provided for under this agreement.		
	62. Employers may at their discretion extend the period specified in paragraphs 60 (ii) and 61.		
	63. Employment as a trainee with a General Medical Practitioner in accordance with the provisions of the Trainee Practitioner Scheme shall similarly be disregarded and count as service.		
	64. Employers have the discretion to count other previous NHS service or service with other employers.		
	Information about Statutory Maternity/Adoption and Paternity Maternity Leave and Pay		

Record of amendments log – Volume One – July 2007 106 -

	Schedule no.	Amendment	Date amended	Circular number
		65. There are occasions when employees are entitled to other statutory benefits/allowances and Information about all statutory maternity/adoption and paternity rights can be found using the following links: <u>http://www.dti.gov.uk/employment/workandfamilies/maternity-leave-</u> pay/guidance/page21116.html		
		http://www.dwp.gov.uk/lifeevent/benefits/statutory_maternity_pay.asp http://jobcentreplus.gov.uk/JCP/Customers/WorkingAgeBenefits/Dev_008115.xml.ht ml		
		Information about Health and Safety for new and expectant mothers at work can be found using the following link:-		
		www.hse.gov.uk		
39	Schedule 27	Insert Caring for Children and Adults (Temporary Schedule)	30 July 2007	PC(M&D) 5/2007
		 General All NHS employers must have a carer's policy to address the needs of people with caring responsibilities and to meet the requirements of the "right to request" flexible working legislation for carers of children and dependant adults (see Employment Relations Act for definition of "carer"). This policy should emphasise the benefits of employment breaks, flexible working arrangements and balancing work and personal life as set out in Schedules 25, 28 and 29. 		

Record of amendments log – Volume One – July 2007 107 -

Schedule no.	Amendment	Date amended	Circular number
	2. The policy should seek to balance the requirements of delivering a first class service with the needs of employees, to find the most effective means of supporting those with carer responsibilities as part of a wider commitment by the NHS to improve the quality of working life.		
	3. Many of the policies related to child and dependant care will have relevance to other forms of care. For example the planning process for checking out what would help eligibility criteria and ensuring equality of access. These should be considered when drawing up a carers policy		
	Child and Dependant Care		
	4. Childcare covers a range of care choices for children from birth up to age 14 years.		
	5. Dependant care covers a range of options to meet the needs of dependant adults, where an employee is involved in substantial and regular care sufficient for them to seek a change in their permanent contract of employment		
	6. The policy should be drawn up jointly between employers and local staff side representatives. This should cover:		
	 the child and dependant care needs of people relative to matters such as place of work, working patterns (including shift patterns) and hours worked; 		
	- policy on child and dependant care support particularly related to specific difficulties in recruiting and retaining people in certain job categories;		
	- equality of access to child and dependant care and affordability, respecting the		

Schedule no.	Amendment	Date amended	Circular number
	diversity of personal domestic circumstances;		
	- guidelines on eligibility;		
	- how the policy relates to other Schedules, in particular those covering leave and flexible working arrangements;		
	- the range of options open to carers, i.e. crèche facilities, childminders, workplace nurseries, allowances, school and holiday play schemes, term-time contracts etc. The policy should be clear as to why certain options are available;		
	 partnership options with other employers and trade unions; 		
	- allocation of senior management responsibility for the operation and monitoring of the policy.		
	7. Where a decision is taken not to offer particular forms of childcare, the policy should indicate where other arrangements are available to support people with childcare responsibilities, and what alternative ways of working exist.		
	8. Applications and outcomes should be monitored annually, in partnership with local staff representatives.		
	9. Monitoring information should be analysed and used to review and revise policies and procedures to ensure their continuing effectiveness.		
	10. Applications and outcomes, from both employer and employees should be recorded and kept for a minimum of one year.		

	Schedule no.	Amendment	Date amended	Circular number
40	Schedule 28	Insert Flexible Working Arrangements	30 July 2007	PC(M&D) 5/2007
		General		
		1. NHS employers in partnership with staff organisations will develop positive flexible working arrangements which allow people to balance work responsibilities with other aspects of their lives.		
		2. Employers are required to consider flexible working options as part of their duty to make reasonable adjustments for disabled staff and job applicants under the Disability Discrimination Act, and staff returning from maternity leave (see Section 15).		
		3. New working arrangements should only be introduced by mutual agreement, whether sought by the employee or the employer.		
		4. Flexible working should be part of an integrated approach to the organisation of work and the healthy work/life balance of staff.		
		5. Policies for flexible working should be made clear to all employees.		
		6. Employers should develop policies on flexible working which, as far as is practicable, should include:		

Schedule no.	Amendment	Date amended	Circular number
	 part-time working, where a person works to a pattern and number of hours by mutual agreement; 		
	 job sharing, where two or more people share the responsibilities of one or more full-time job(s), dividing the hours, duties and pay between them; 		
	 flexi-time, where employees can choose their own start and finish time around fixed core hours; 		
	 annual hours contracts, where people work a specific number of hours each year, with the hours being unevenly distributed throughout the year; 		
	 flexible rostering, using periods of work of differing lengths within an agreed overall period; 		
	 term-time working, where people work during the school term but not during school holidays; 		
	 school-time contracts; 		
	 teleworking, where people work from home for all or part of their hours with a computer or telecommunication link to their organisation; 		
	 voluntary reduced working time, where people work reduced hours by agreement at a reduced salary; 		
	 fixed work patterns, where, by agreement, days off can be irregular to enable, for example, access by separated parents to their children and flexible rostering. 		
	Flexible retirement		

Record of amendments log – Volume One – July 2007 111 -

	Schedule no.	Amendment		Circular number
		7. Flexible working arrangements should be available to all employees.		
		8. All jobs should be considered for flexible working. If this is not possible the employer must provide written, objectively justifiable reasons for this and give a clear, demonstrable operational reason why this is not practicable.		
		9. There should be a clear procedure for application for flexible working, agreed by employers and local staff representatives.		
		10. All people with flexible working arrangements should have access to standard terms and conditions of employment, on an equal or pro-rata basis, unless different treatment can be justified for operational reasons.		
		Monitoring and Review		
		11. Applications and outcomes should be monitored annually, in partnership with local staff representatives.		
		12. Monitoring information should be analysed and used to review and revise policies and procedures to ensure their continuing effectiveness.		
		 Applications and outcomes, from both employer and employees, should be recorded and kept for a minimum of one year. 		
41	Schedule 29	Insert Balancing Work and Personal Life	30 July 2007	PC(M&D) 5/2007
		GENERAL		

Schedule no.	Amendment	Date amended	Circular number
	1. NHS employers should provide employees with access to leave arrangements which support them in balancing their work responsibilities with their personal commitments.		
	2. Leave arrangements should be part of an integrated policy of efficient and employee friendly employment practices, and this Schedule should be seen as operating in conjunction with other provisions particularly the Employment Break Scheme, Flexible Working Arrangements and the Caring for Children and Adults Schedules.		
	3. Arrangements should be agreed between employers and local staff representatives.		
	4. A dependant is someone who is married to, or is a partner or civil partner, "a near relative" or someone who lives at the same address as the employee. A relative for this purpose includes: parents, parents-in-law, adult children, adopted adult children, siblings (including those who are in-laws), uncles, aunts, grandparents and step relatives or is someone who relies on the employee in a particular emergency.		
	FORMS OF LEAVE		
	Parental Leave		
	5. This should be a separate provision from either maternity or maternity support leave and should provide an untransferable individual right to at least 13 weeks' leave (18 weeks if child is disabled). Leave is normally unpaid, but may be paid by local agreement.		
	6. Parental leave should be applicable to any employee in the NHS who has nominated caring responsibility for a child under age 14 (18 in cases of adoption or disabled children).		
	7. Leave arrangements need to be as flexible as possible, so that the leave may be		

Schedule no.	Amendme	nt	Date amended	Circular number
		en in a variety of ways by local agreement. Parental leave can be added to periods naternity support or maternity leave.		
	leav and	ice periods should not be unnecessarily lengthy and should reflect the period of ve required. Employers should only postpone leave in exceptional circumstances I give written reasons. Employees may also postpone or cancel leave that has en booked with local agreement.		
	rem cont	ing parental leave the employee retains all of his or her contractual rights, except nuneration and should return to the same job after it. Pension rights and tributions shall be dealt with in accordance with NHS Superannuation Regulations. iods of parental leave should be regarded as continuous service.		
		s good practice for employers to maintain contact (within agreed protocols) with ployees while they are on parental leave.		
	Maternity S	Support (Paternity) Leave and Pay and Ante-Natal Leave		
		s will apply to biological and adoptive fathers, nominated carers and same sex tners.		
	pay calc pay pate orga	ere will be an entitlement to two weeks' occupational maternity support pay. Full will be calculated on the basis of the average weekly earnings rules used for culating occupational maternity pay entitlements. The employee will receive full r less any statutory paternity pay receivable. Only one period of occupational ernity pay is ordinarily available when there is a multiple birth. However, NHS anisations have scope for agreeing locally more favourable arrangements where y consider it necessary, or further periods of unpaid leave.		
	13. Elig	ibility for occupational paid maternity support pay will be twelve months'		

Schedule no.	Amer	ndment	Date amended	Circular number
		continuous service with one or more NHS employers at the beginning of the week in which the baby is due. More favourable local arrangements may be agreed with staff representatives and/or may be already in place.		
	14.	Local arrangements should specify the period during which leave can be taken and whether it must be taken in a continuous block or may be split up over a specific period.		
	15.	An employee must give his or her employer a completed form SC3 "Becoming a Parent" at least 28 days before they want leave to start. The employer should accept later notification if there is good reason.		
	16.	Reasonable paid time off to attend ante-natal classes will also be given.		
	17.	All employees are entitled to two weeks maternity support leave. Employees who are not eligible for occupational maternity support pay may still be entitled to Statutory Paternity Pay (SPP) subject to the qualifying conditions. The rate of SPP is the same as for Statutory Maternity Pay (SMP).		
	Adop	tion Leave and Pay		
	18.	All employees are entitled to take 52 weeks adoption leave.		
	19.	There will be entitlement to paid occupational adoption leave for employees wishing to adopt a child who is newly placed for adoption.		
	20.	It will be available to people wishing to adopt a child who has primary carer responsibilities for that child.		
	21.	Where the child is below the age of 18 adoption leave and pay will be in line with the		

Schedule no.	Amendment	Date amended	Circular number
	 maternity leave and pay provisions as set out in this agreement. 22. Eligibility for occupational adoption pay will be twelve months' continuous NHS service ending with the week in which they are notified of being matched with the child for adoption. This will cover the circumstances where employees are newly matched with the child by an adoption agency. 		
	23. If there is an established relationship with the child, such as fostering prior to the adoption, or when a step-parent is adopting a partner's children there is scope for local arrangements on the amount of leave and pay in addition to time off for official meetings.		
	24. If the same employer employs both parents the period of leave and pay may be shared. One parent should be identified as the primary carer and be entitled to the majority of the leave. The partner of the primary carer is entitled to occupational paternity leave and pay.		
	25. Reasonable time off to attend official meetings in the adoption process should also be given.		
	26. Employees who are not eligible for occupational adoption pay, may still be entitled to Statutory Adoption Pay (SAP) subject to the qualifying conditions. The rate of SAP is the same as for Statutory Maternity Pay.		
	Keeping in Touch		
	Work during the Adoption Leave Period		
	Keeping in Touch Days		

Schedule no.	Amendment	Date amended	Circular number
	27. Employees will be entitled to Keep in Touch Days (KIT) in line with the maternity leave and pay provisions as set out in Schedule 24.		
	Leave/Time Off for Domestic Reasons		
	28. This form of leave should cover a range of needs, from genuine domestic emergencies through to bereavement.		
	29. These provisions should cover all employees.		
	30. Payment may be made by local agreement, but the expectation is that relatively short periods of leave for emergencies will be paid.		
	31. If the need for time off continues, other options may be considered, such as a career break.		
	32. Applicants for the above forms of leave should be entitled to a written explanation if the application is declined.		
	33. Appeals against decisions to decline an application for leave should be made through the Grievance Procedure.		
	Monitoring and Review		
	34. All applications and outcomes should be recorded, and each leave provision should be annually reviewed by employers in partnership with local staff representatives		
	35. Applications and outcomes should be monitored annually, in partnership with local staff representatives.		

Schedule no.	Amendment	Date amended	Circular number
	 36. Monitoring information should be analysed and used to review and revise policies and procedures to ensure their continuing effectiveness 37. Applications and outcomes, from both employer and employees should be recorded and kept for a minimum of one year 		

Pay and Negotiations Team NHS Employers July 2007

Record of amendments log – Volume One – July 2007 118 -